

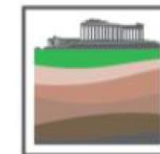
# TECHNOLOGY USE FOR ASSISTING IN FASTER GROUND CHARACTERIZATION AND SLOPE PERFORMANCE APPRAISAL

**Neil Bar**

IAEG Commission No. 38

"Rockmass Characterization with Emphasis in Rock Slope Hazards"

**6 October 2021**



**EUROENGEIO**  
**3<sup>RD</sup> EUROPEAN REGIONAL  
CONFERENCE OF IAEG**

**ATHENS 2020**

Leading to Innovative  
Engineering Geology Practices



# Rock Slope Engineering

*Surface Mines and Large Civil Engineering Projects*

## Ground Failure Risk Management

- Site Investigations
- Geotechnical Model
- Data Limitations and Uncertainty
- Design Acceptance Criteria
- Slope Stability Analysis Techniques
- Slope Excavation Economics
- Risk Management
  - Monitoring
  - Reconciliation



# OPERATING SURFACE MINES AND LARGE CIVIL ENGINEERING PROJECTS IN EXECUTION PHASE

*...have already completed site investigations,  
geotechnical model, slope stability analysis  
and design*

# MONITORING INSTRUMENTATION

*surface and subsurface monitoring  
instrumentation*



# Monitoring systems

- Manual surface extensometers
- Inclinometers & shape accel arrays
- Prism monitoring system
- Ground based radars
  - RAR and SAR
  - Doppler
- Satellite monitoring system
  - InSAR
- UAV Photogrammetry
- Other
  - TDR, GPS, SMART Markers, etc...
  - Vibrating wire piezometers (VWP)





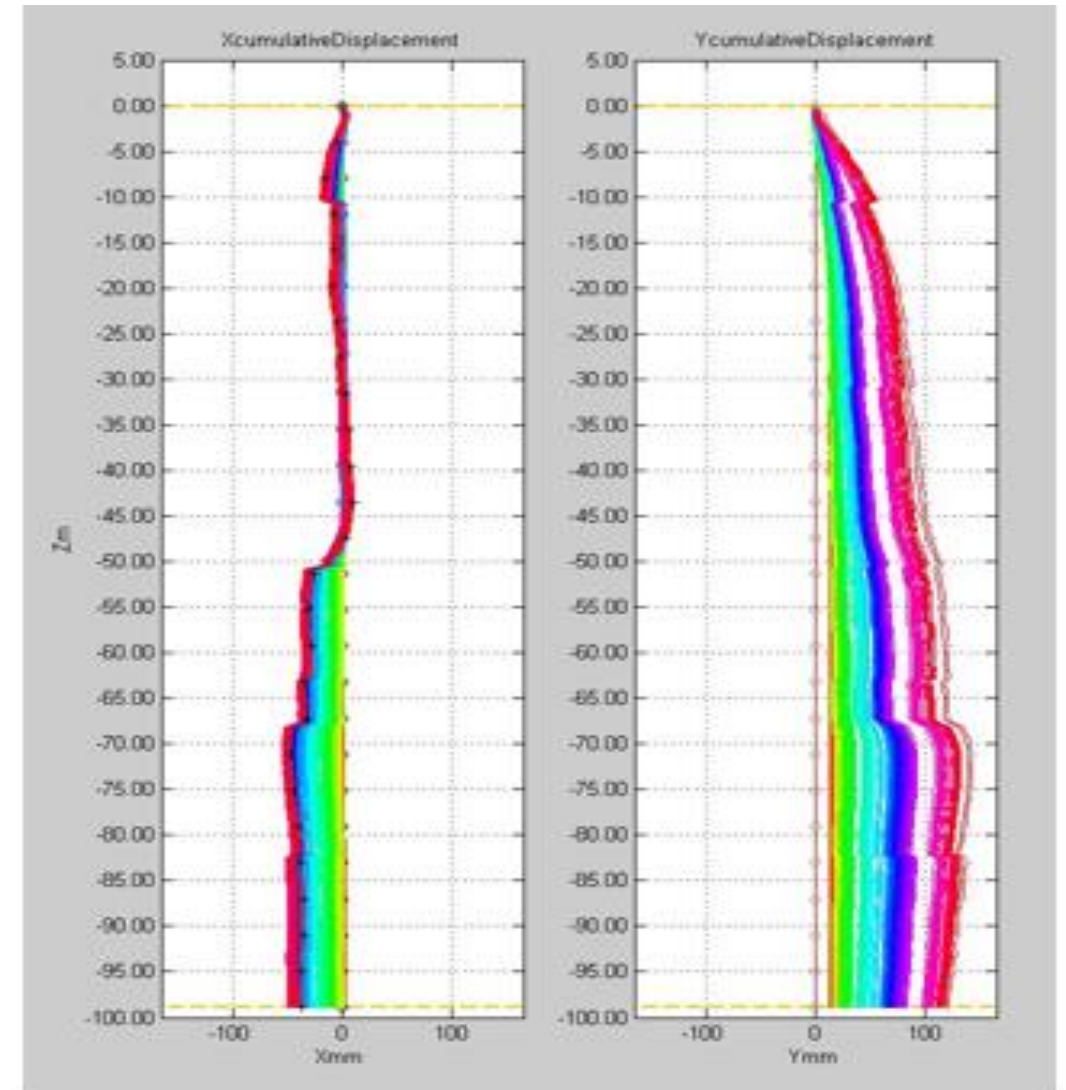
# Manual surface extensometers

- Manual surface extensometers
  - Reliable
- Can be made from basic parts



# Inclinometers & shape accel arrays

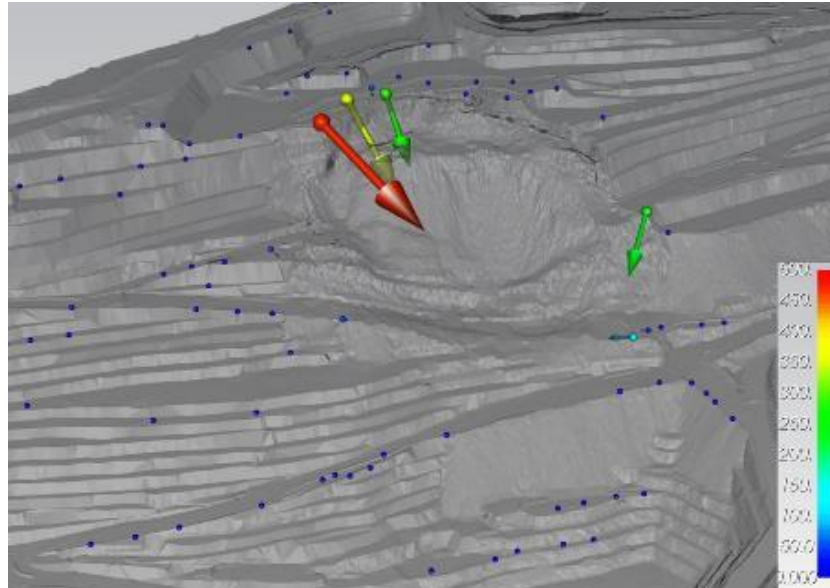
- Borehole installation
- Inclinometers – biaxial deformation measured manually assuming fixed base:
  - Tilt measurements
  - Frequency typically 7 days or more
- Shape Accel Array
  - Accelerometers every 0.5m measure tilt
  - Automated measurements
  - Telemetry & alarming
  - Frequency can be hourly or less





# Prism monitoring system

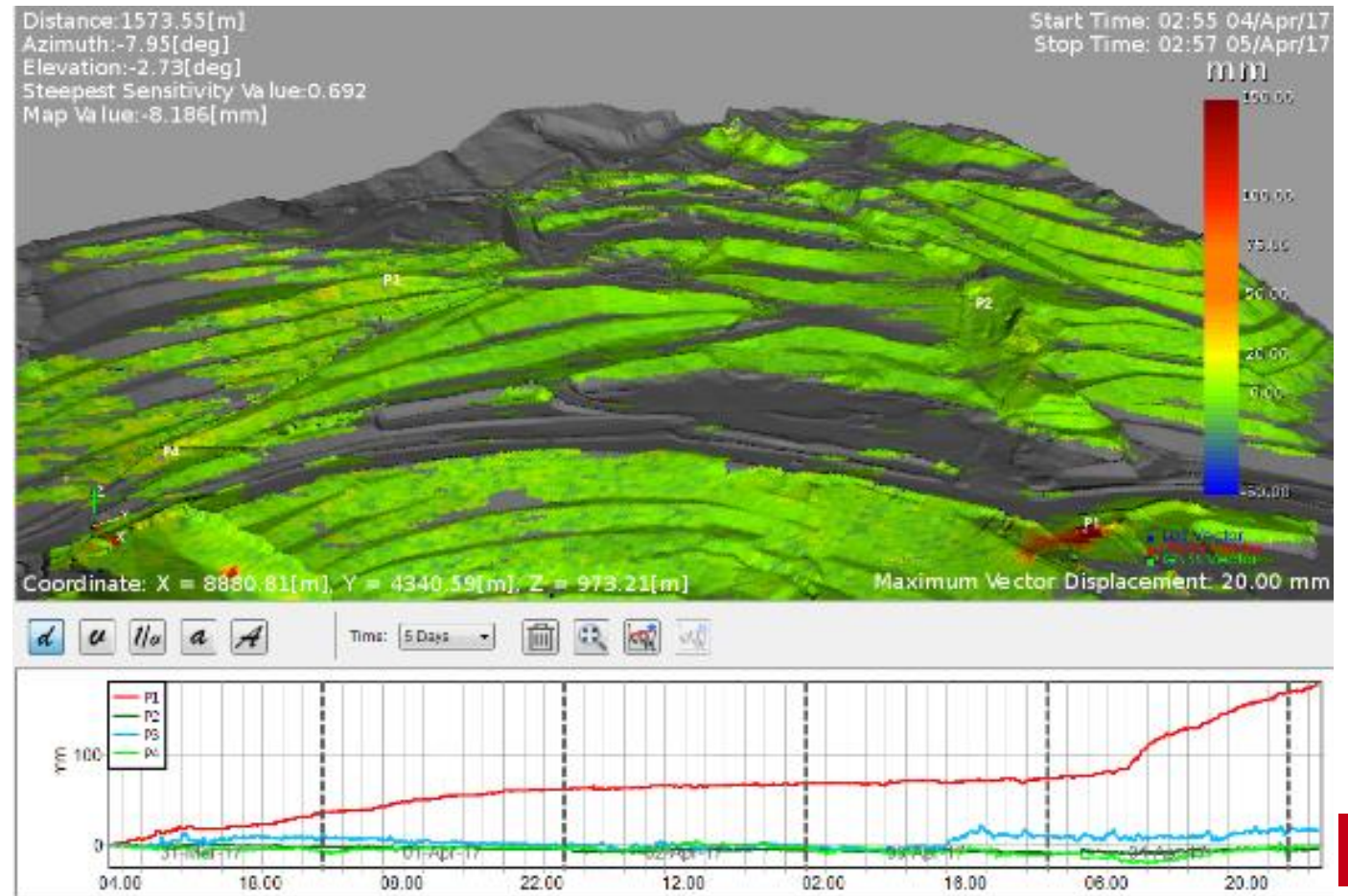
- Automatic total stations (ATS) survey location of individual prisms at set intervals – 90 or 180 minutes
- Deformation & velocity calculated
  - Alarms can be set
- Line-of-sight required: ATS → prism
  - Desert: prism covered in dust
  - Tropics: prism covered in mildew or jungle





# Ground-based Radar

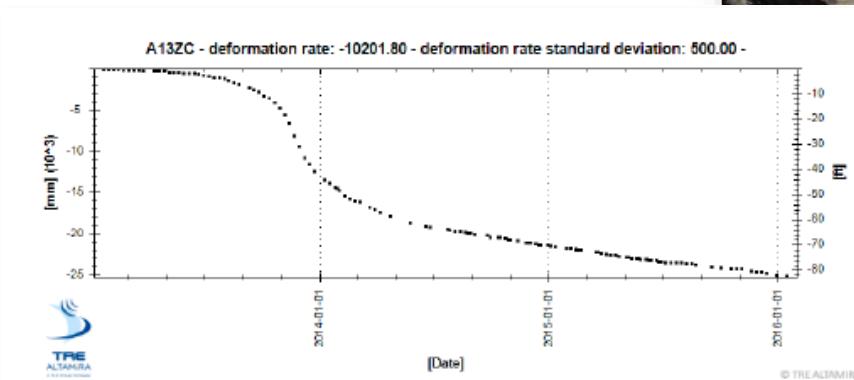
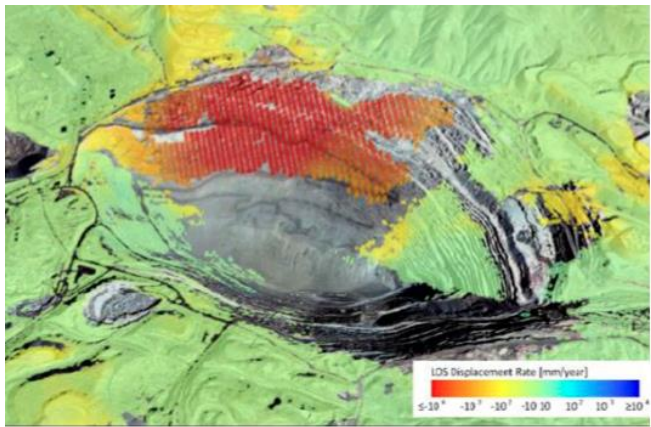
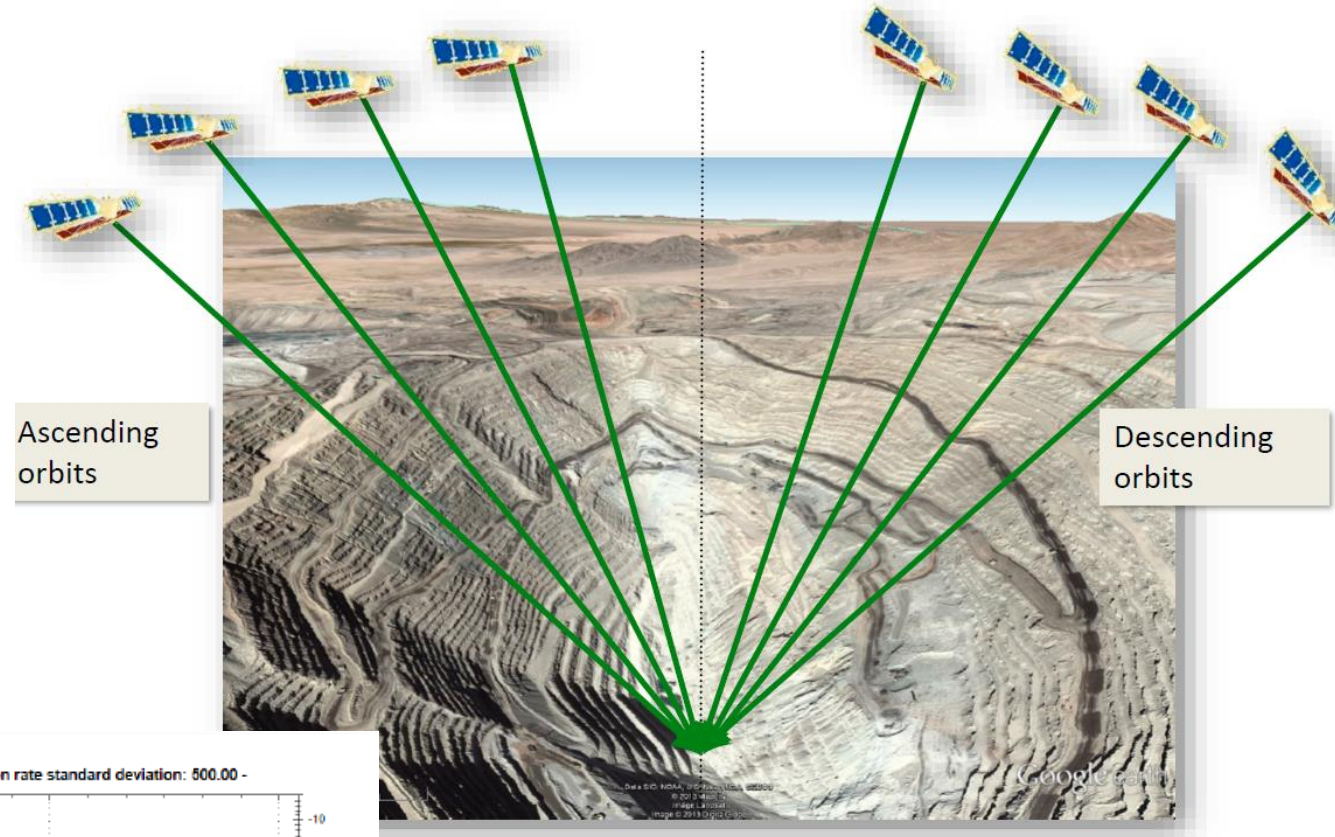
- Ability scan long distances and larger areas
- Faster data collection and processing frequency – scan time ~5 minutes
- Excellent long-term monitoring tool, highly reliable.





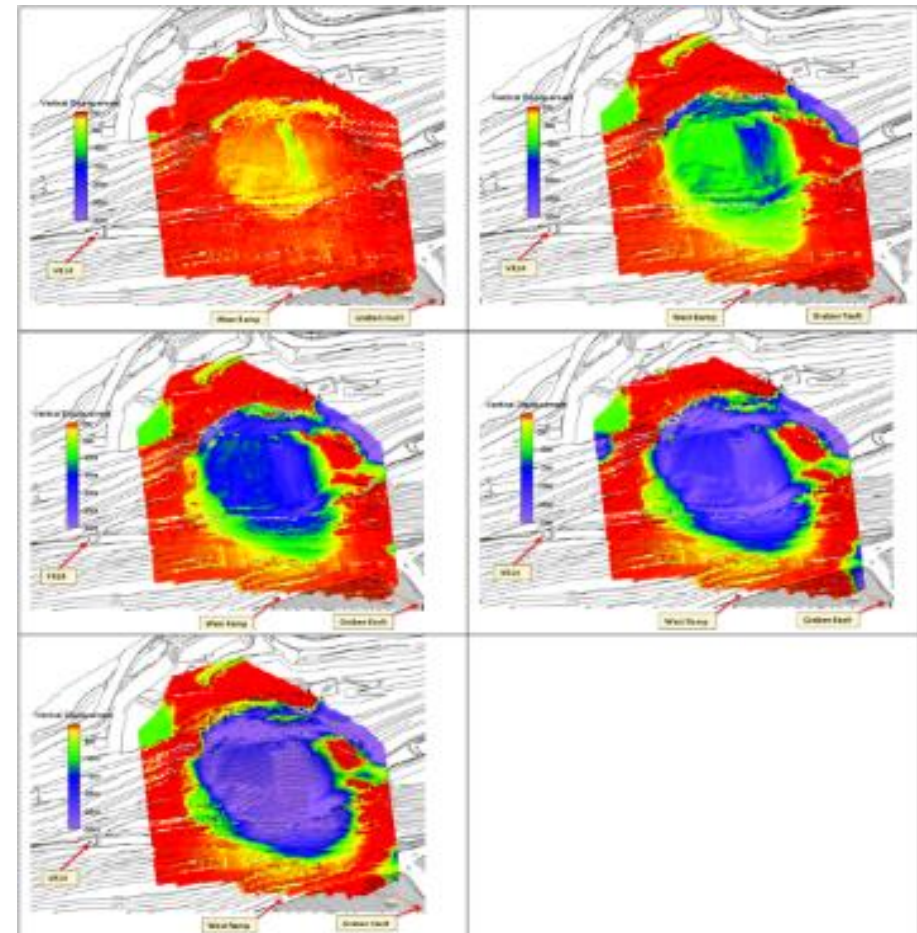
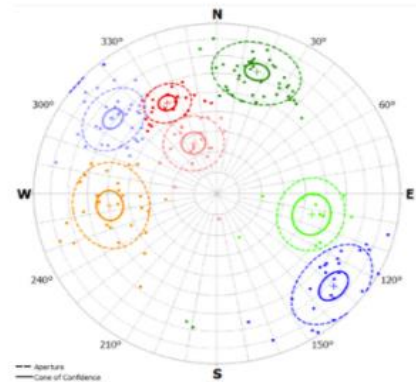
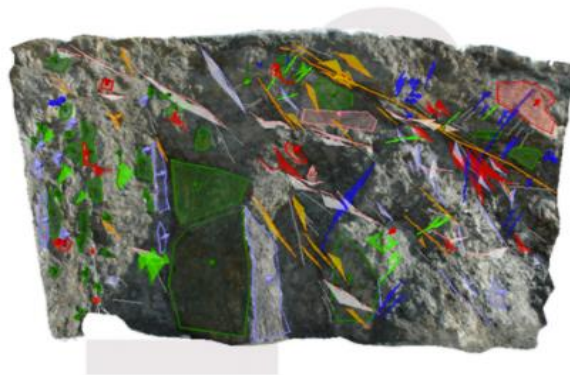
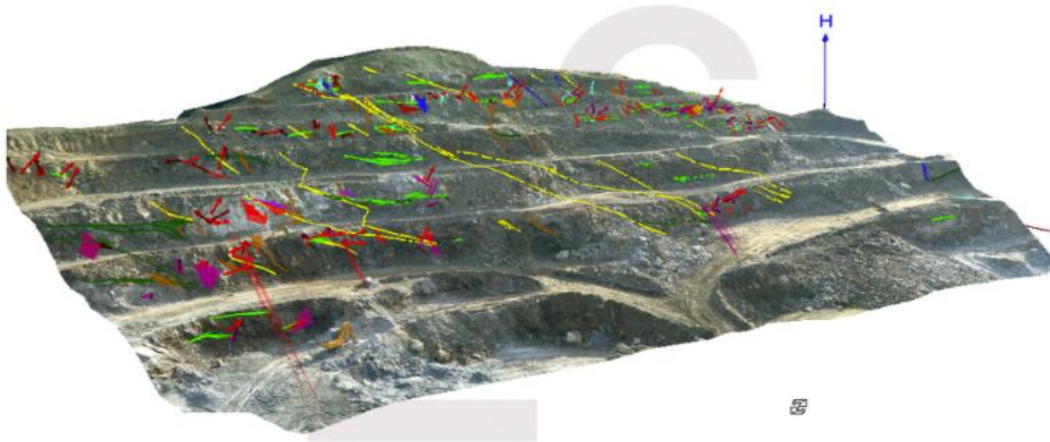
# Satellite monitoring system - (InSAR)

- Satellite monitoring (e.g. InSAR)
- Ideal for monitoring large areas – mountain ranges and infrastructure corridors (particularly north-south heading)
- Manual and time consuming data processing
- 7 or more day data-intervals



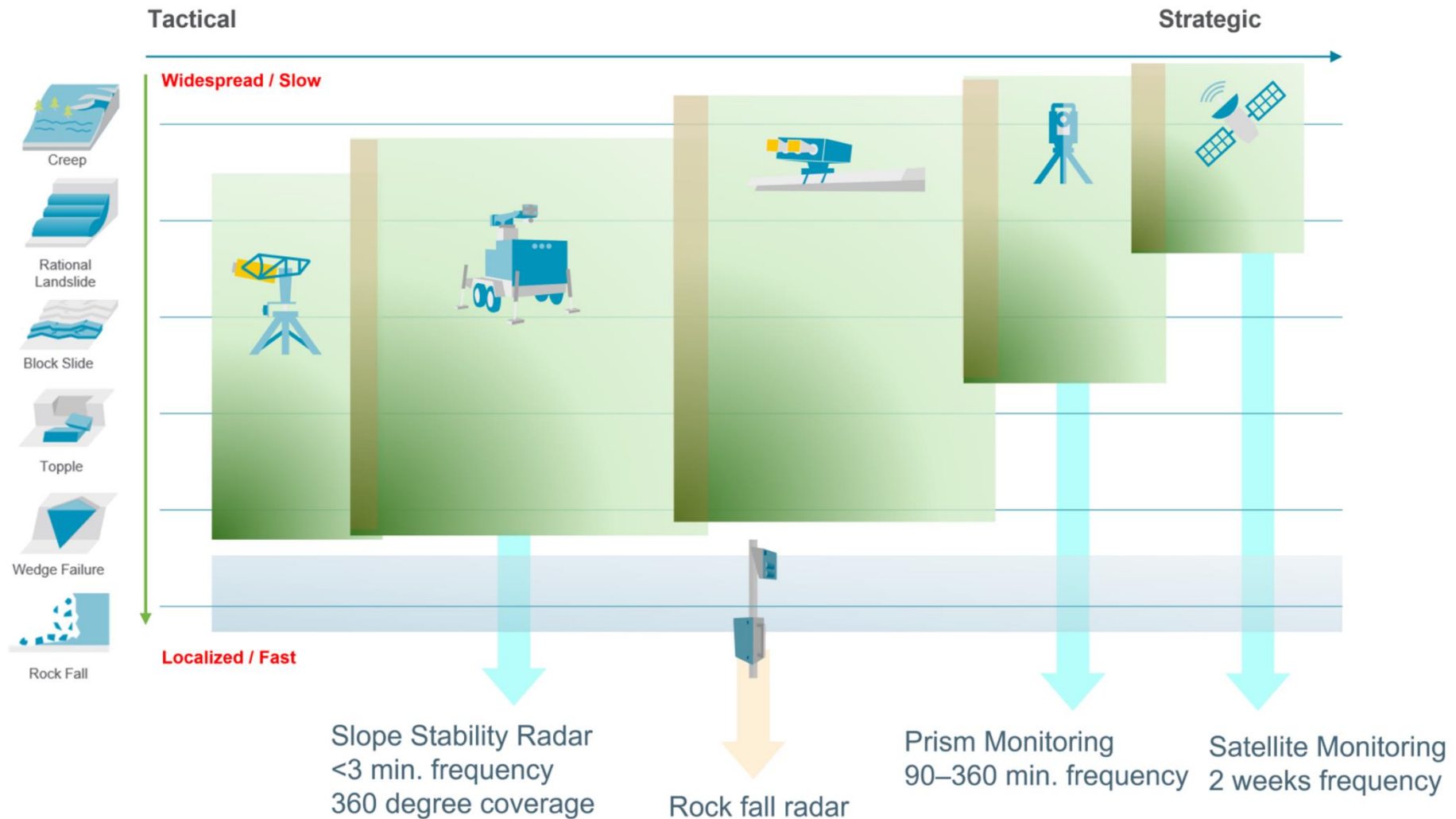
# UAV photogrammetry

- High resolution digital terrain models (accuracy < 10cm)
- Ideal for small regions – excavations or subsidence monitoring
- Manual data processing – can be done weekly





# Monitoring Frequency





# ROUTINE DATA COLLECTION DURING EXECUTION

*...for reconciling the geotechnical model and  
inputs to slope stability analyses*

# Mapping – manual

- By hand:
  - Slope face mapping
  - Remote mapping estimates (low accuracy)
- Limited 'reach'
- Benefit: discontinuity roughness, aperture and infilling can be assessed



# Mapping - photogrammetry

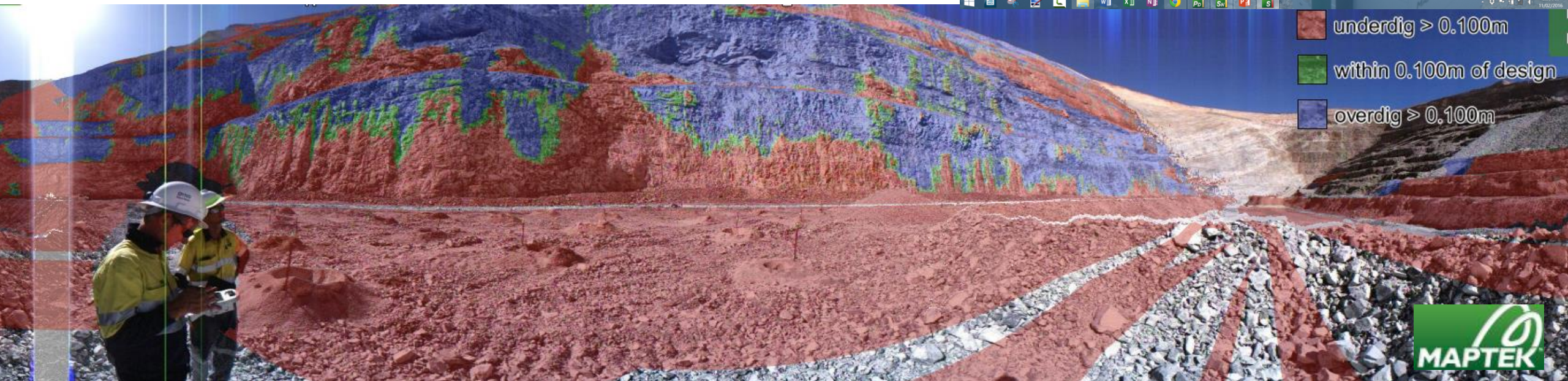
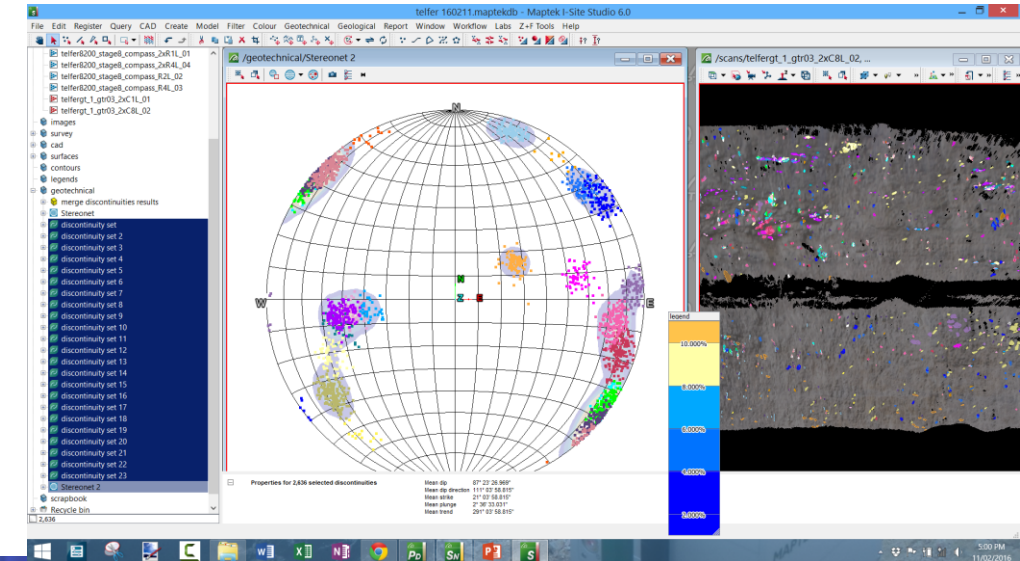
- Photogrammetry:
  - Terrestrial (tripod set-up)
  - Aerial (UAV / drones)
  - Combination of terrestrial cameras and aerial
- Fast data acquisition & processing
  - Survey controls required for terrestrial
  - Most drones have in-built GPS
- Discontinuity lengths and orientations only!
  - Cannot be used to evaluate the geomechanical properties of discontinuities





# Laser scanning

- Laser scanning:
  - Terrestrial (tripod set-up)
  - Aerial becoming more readily available
- Discontinuity lengths and orientations only!
  - Cannot be used to evaluate the geomechanical properties of discontinuities



# CUMBA SLOPE INSTABILITY

*...an example of technology use to monitor,  
map, understand and move forward*



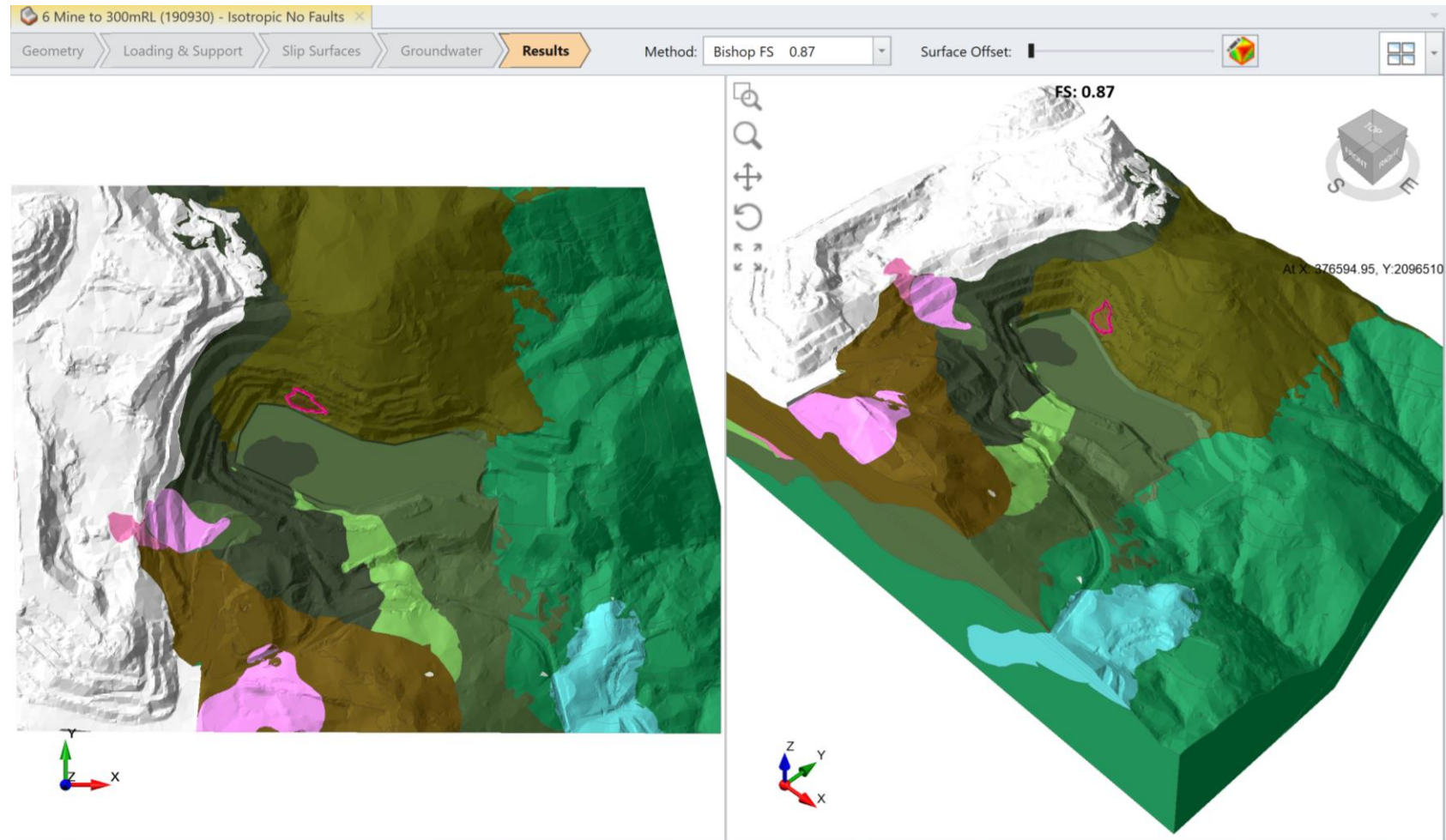
# Cumba Slope Instability - Introduction

- Tropical setting in the Caribbean
- 2,500mm annual rainfall
- Cumba was a satellite pit in a large gold mine
- High strength andesites
- Initial geotechnical model and slope stability analysis:
  - Isotropic ground conditions were assumed (Hoek-Brown failure criterion)
  - Presence of major faults was unknown prior to execution
  - 2D LE analysis only



# Reproduction of Slope Stability Models in 3D

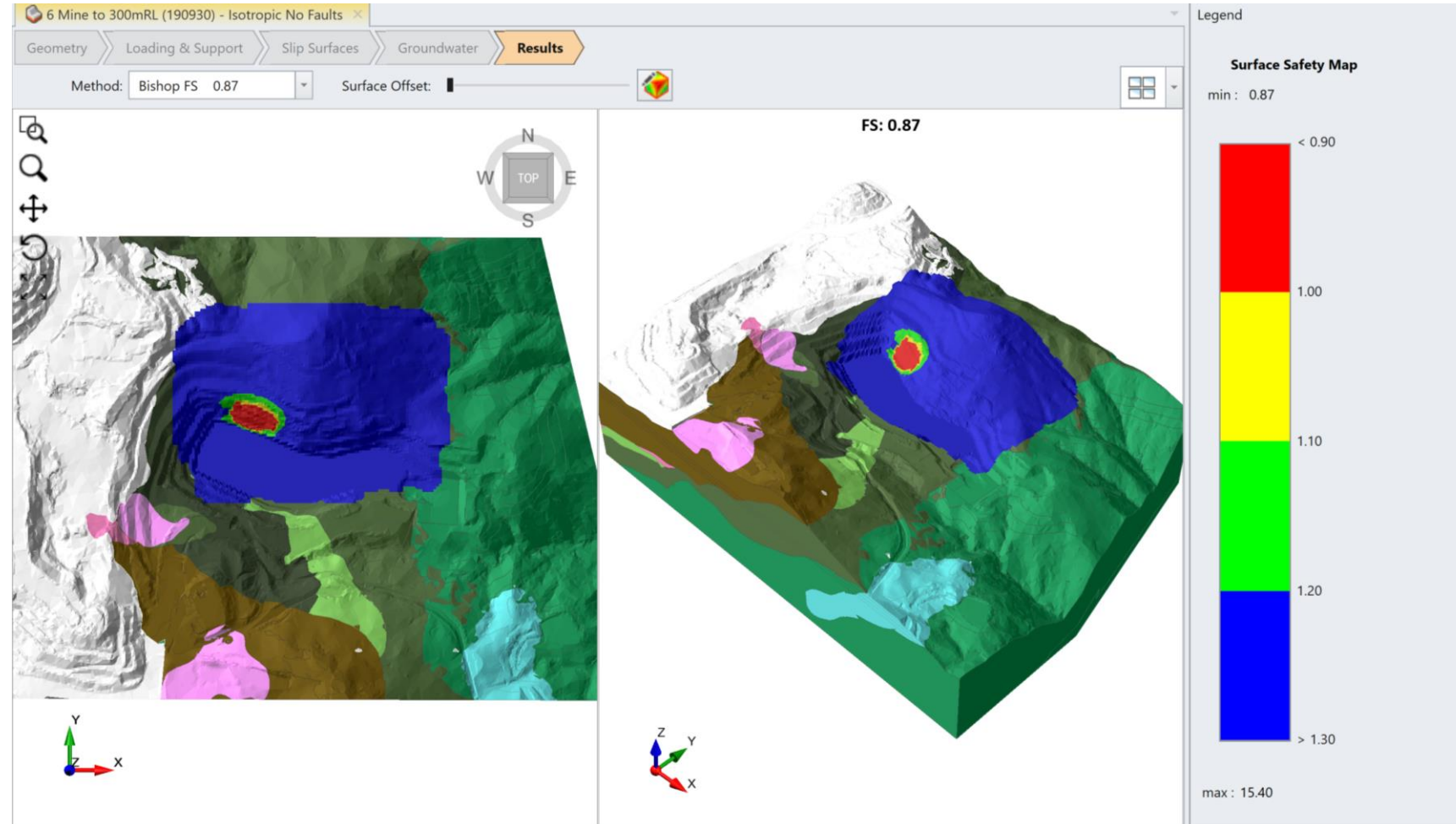
- Model inputs based on original 2D analysis:
  - Isotropic H-B
  - No Faults
- Slide3: 3D limit equilibrium analysis identifies localized double bench instability





# Reproduction of Slope Stability Models in 3D

- Model inputs based on original 2D analysis:
  - Isotropic H-B
  - No Faults
  - Pore Pressure based on VWP data
- Slide3: 3D limit equilibrium analysis identifies localized double bench instability
- **Model suggests generally stable**

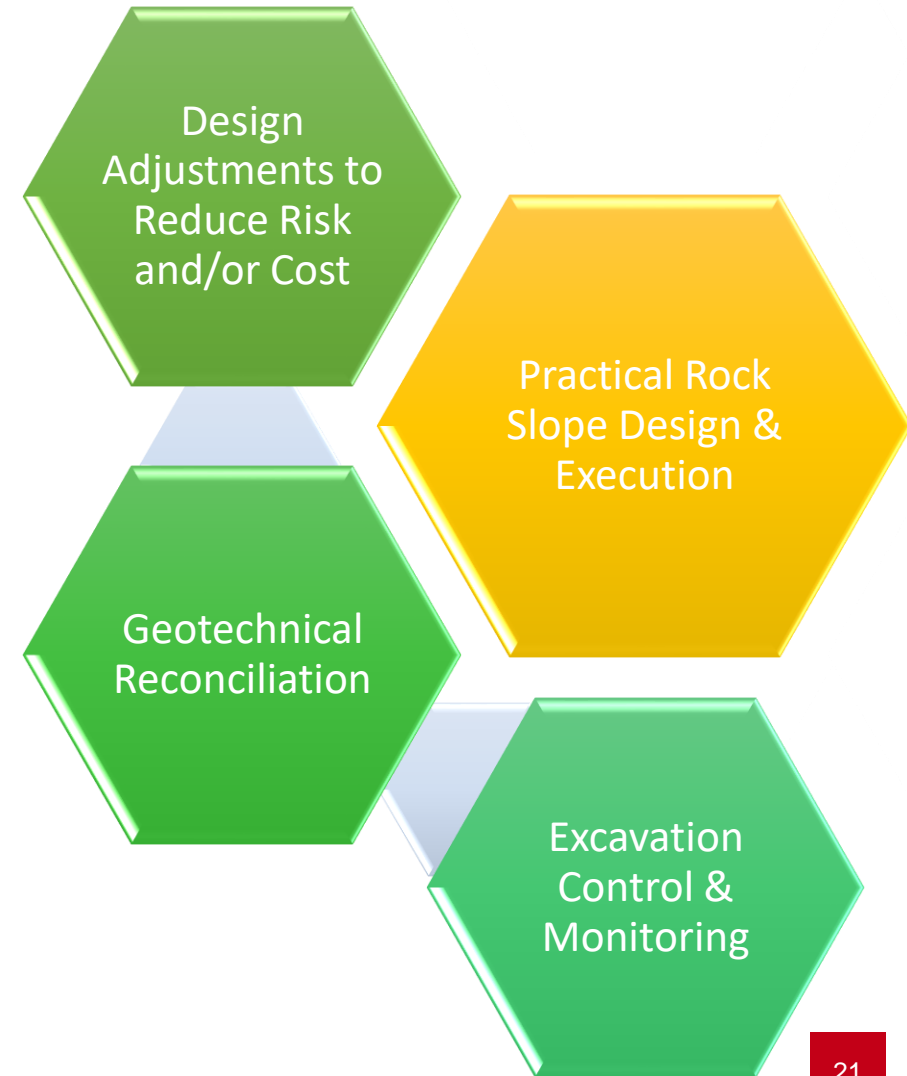


Andesite, UCS: 42 MPa, GSI 70, mi 16

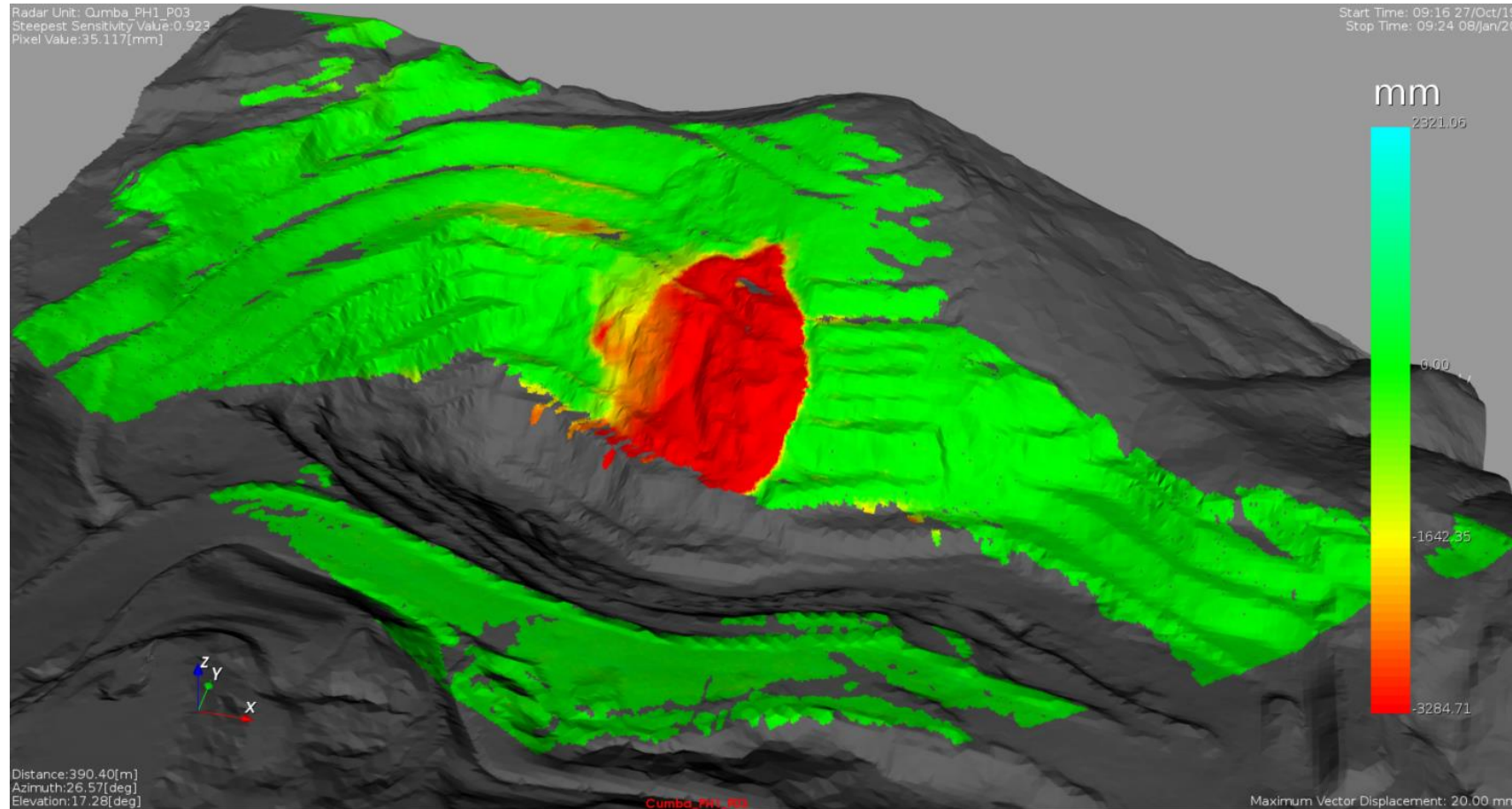


# Cumba Slope Instability - Context

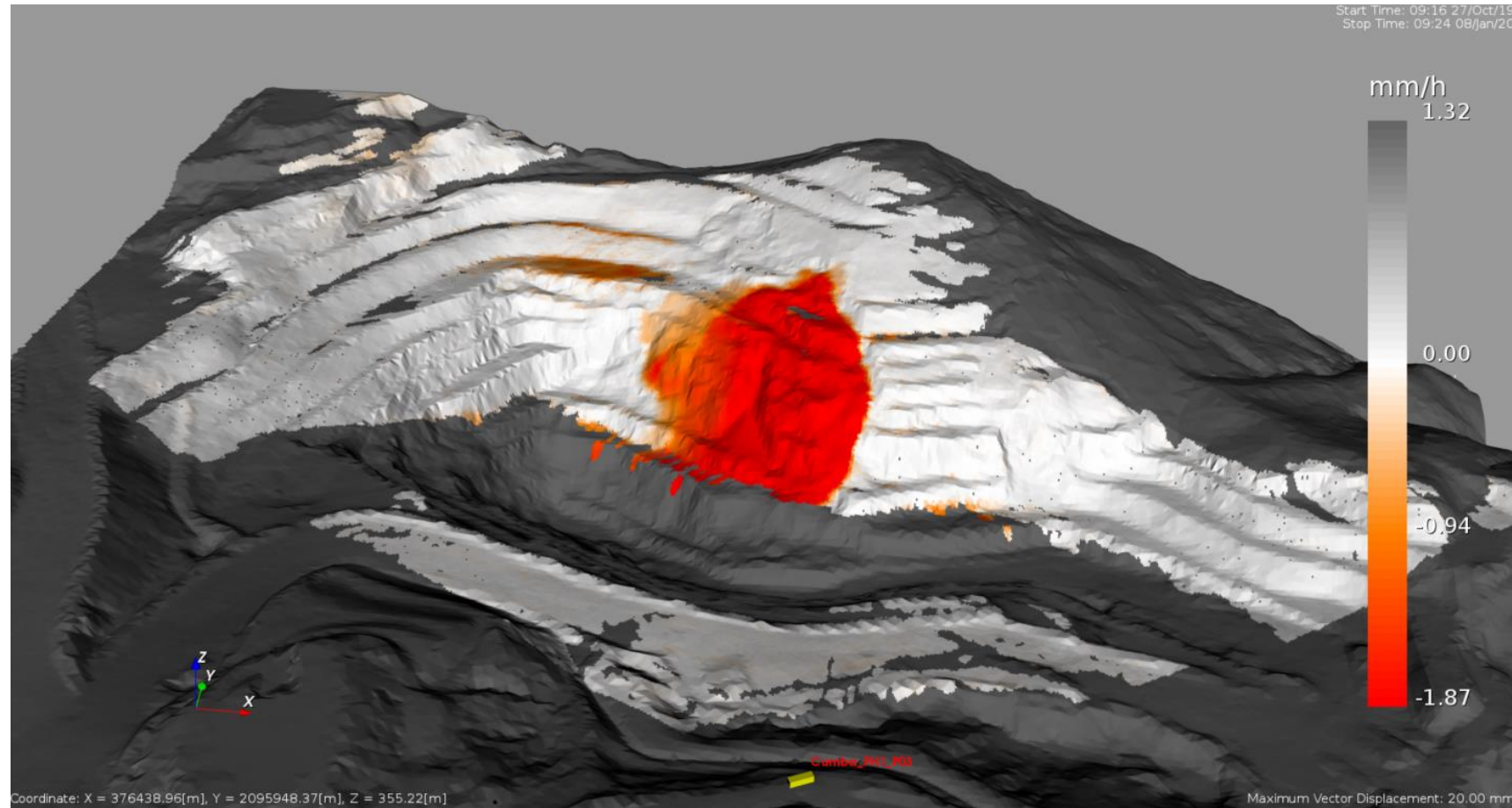
- Deformation monitoring with ground-based radar
  - IDS ArcSAR system
  - 360 degree radar, scan time <3 minutes
  - Alarming capability for evacuating personnel and equipment
- Fortnightly aerial photogrammetry
  - Low resolution for surveying application (i.e. not intended for geological mapping)
  - Major geological features still visible (multi-bench scale)
- Slope stability model development
  - 3D limit equilibrium analysis
  - With updated geotechnical model (i.e. faults)



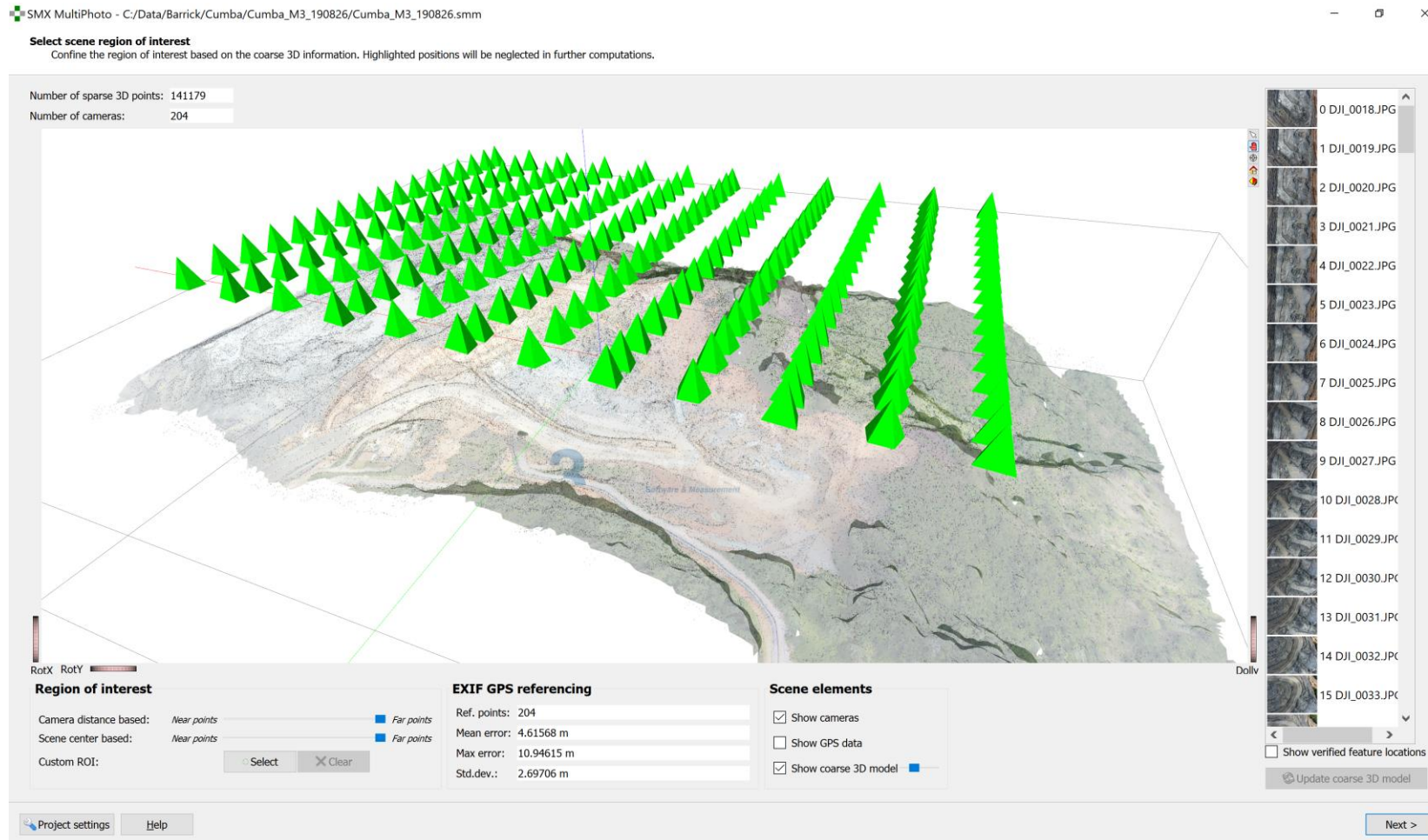
# Deformation Monitoring Hotspot – 70m high



# Velocity >1mm/hour



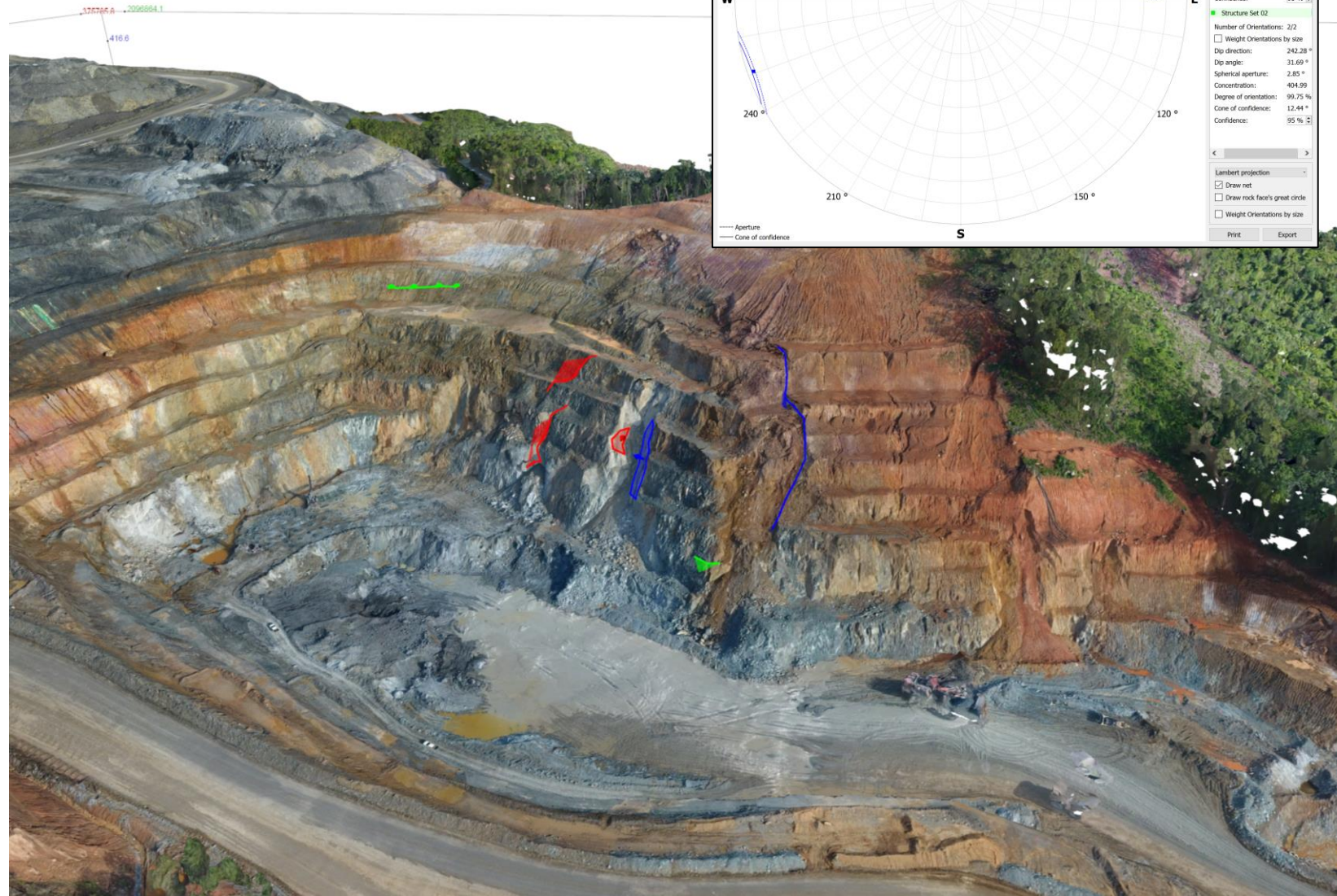
# Aerial Photogrammetry – Low Resolution





# Aerial Photogrammetry

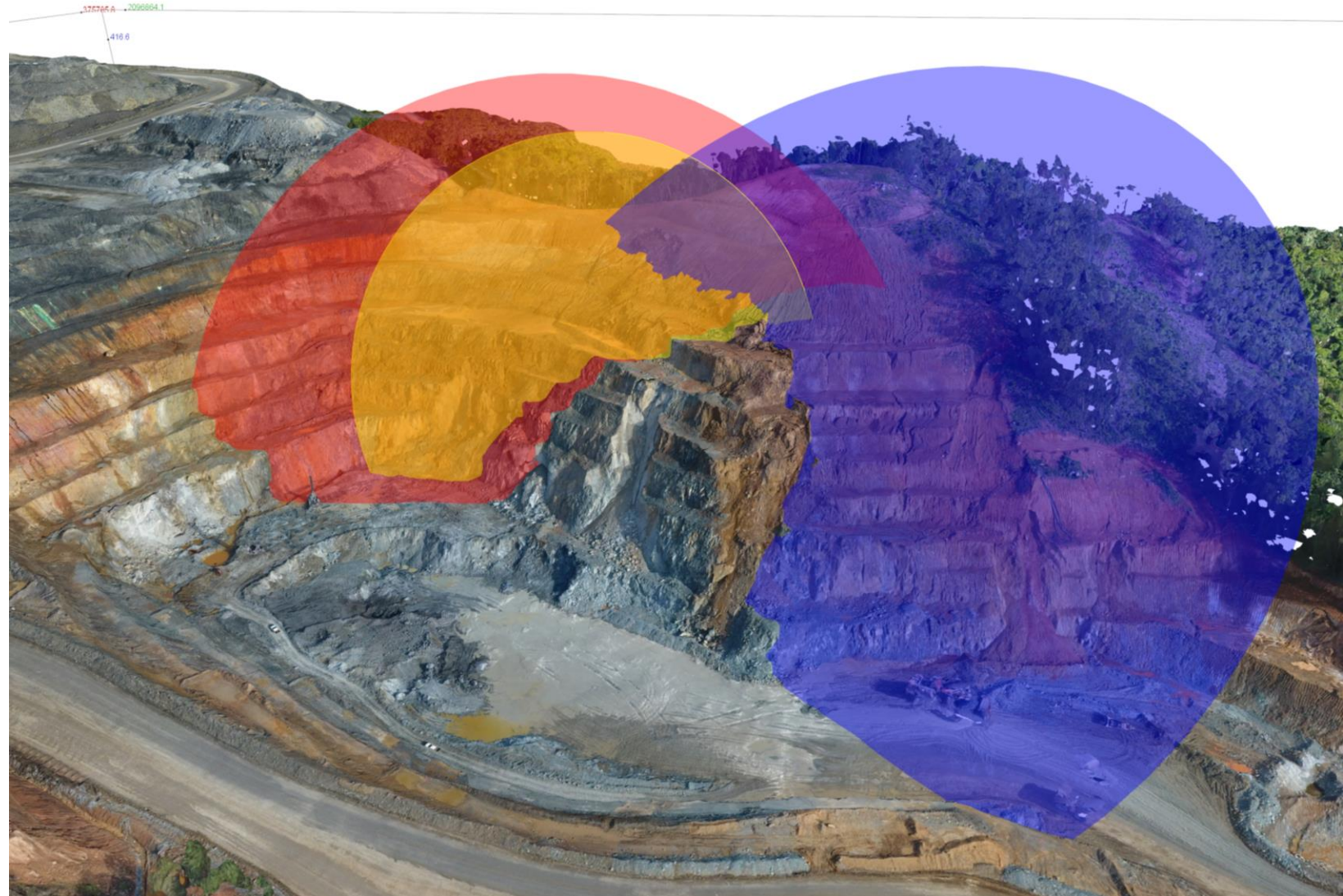
- Low Resolution  
(surveying purpose)
- Mapping geological features
  - >10 m in length
  - Multi-bench





# Aerial Photogrammetry

- Low Resolution  
(surveying purpose)
- Mapping geological features
  - >10m in length
  - Multi-bench
- Extrapolating fault planes for use in 3D stability analyses





# Aerial Photogrammetry

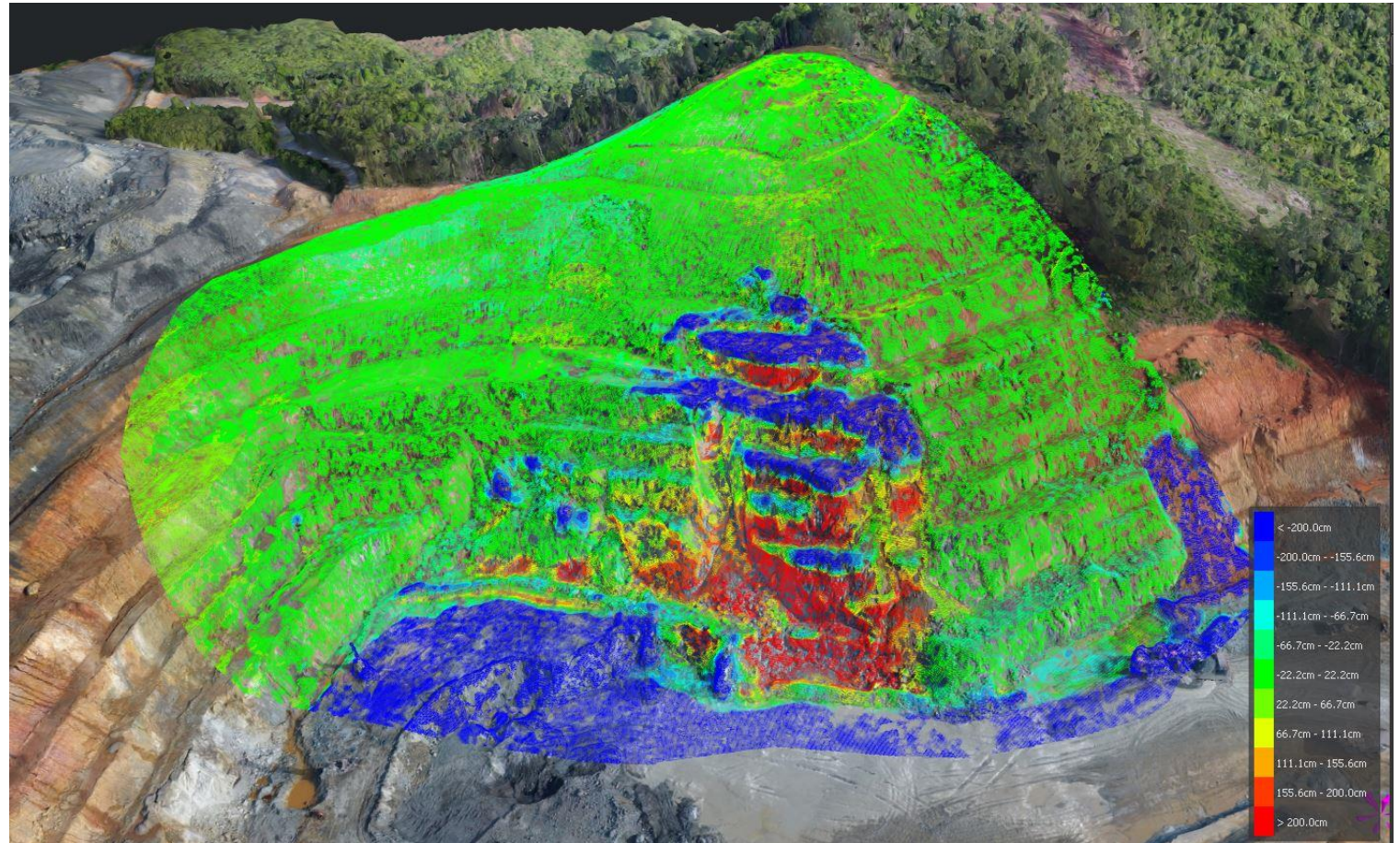
- Low Resolution  
(surveying purpose)
- Mapping geological features
  - >10m in length
  - Multi-bench
- Extrapolating fault planes for use in 3D stability analyses
  - Naming Faults





# Deformation Analysis from Photogrammetry

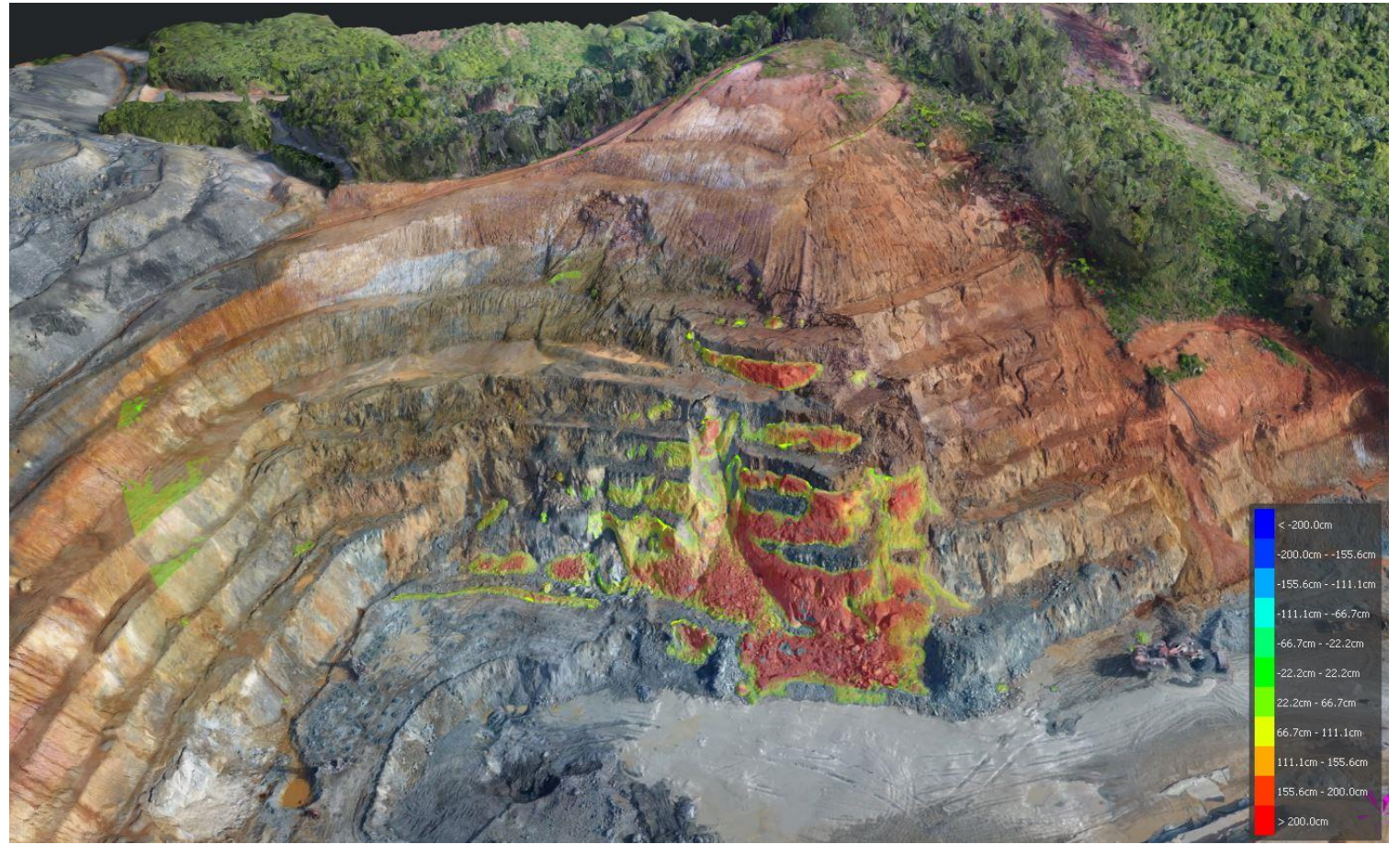
- Photogrammetry for surveying every 2 weeks
- Vertical deformation
- 70m high instability





# Deformation Analysis from Photogrammetry

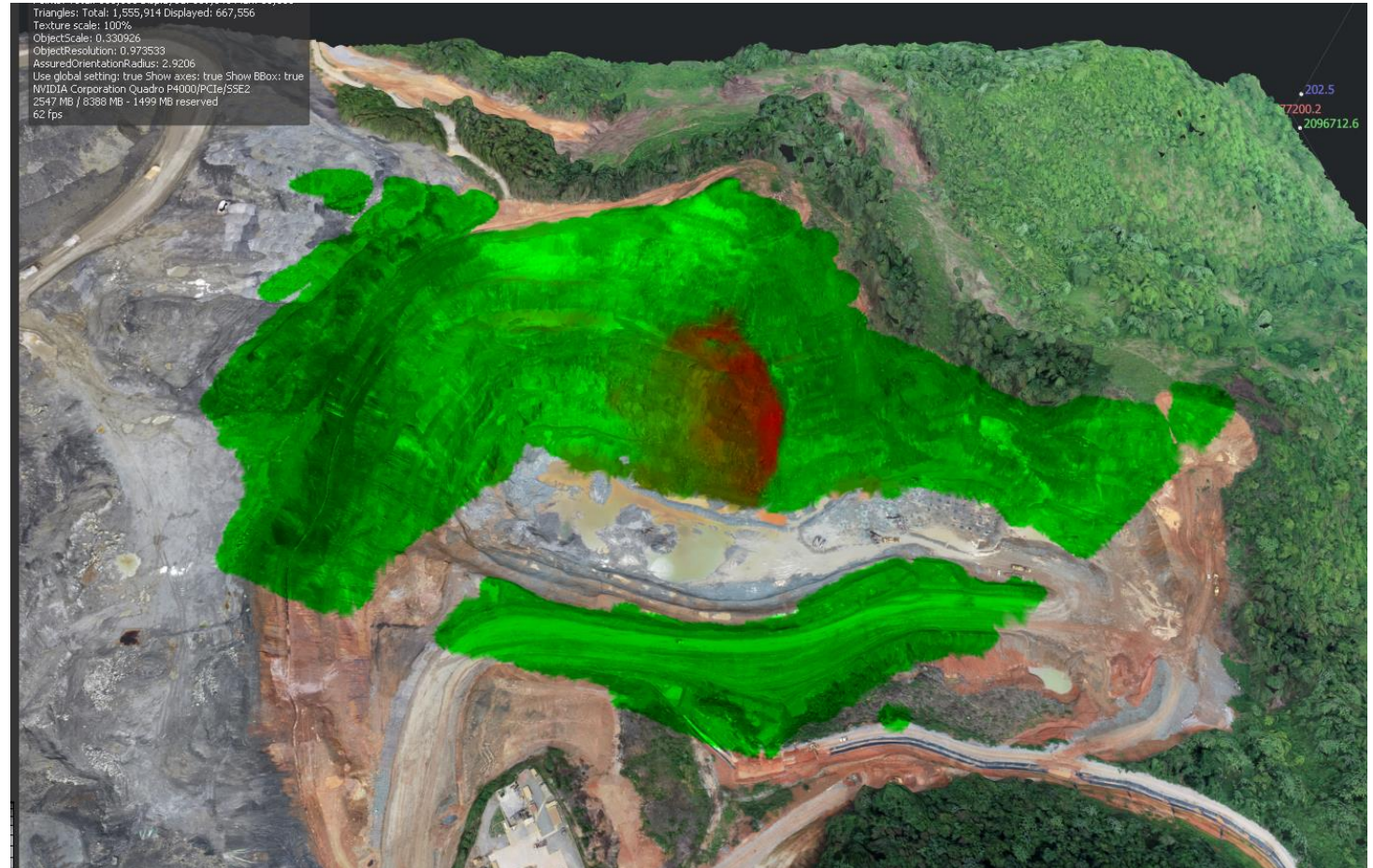
- Photogrammetry for surveying every 2 weeks
- Vertical deformation
  - Outward only





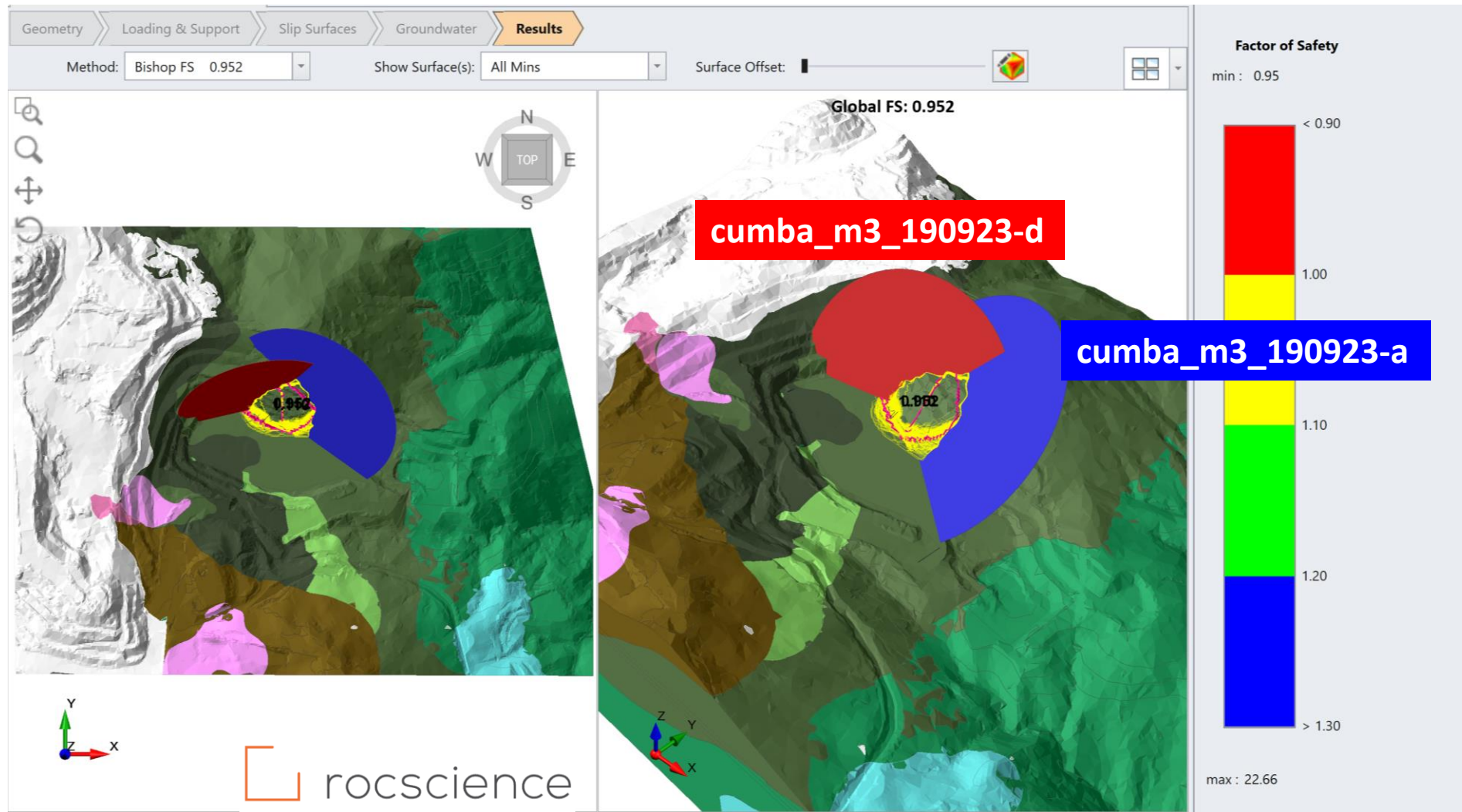
# Integration of GbSAR and Photogrammetry

- Integration of GbSAR and photogrammetry
  - Visualization of radar deformation overlain on the photogrammetry model



3GSM ShapeMetrix UAV – assistance from Dr Markus Pötsch

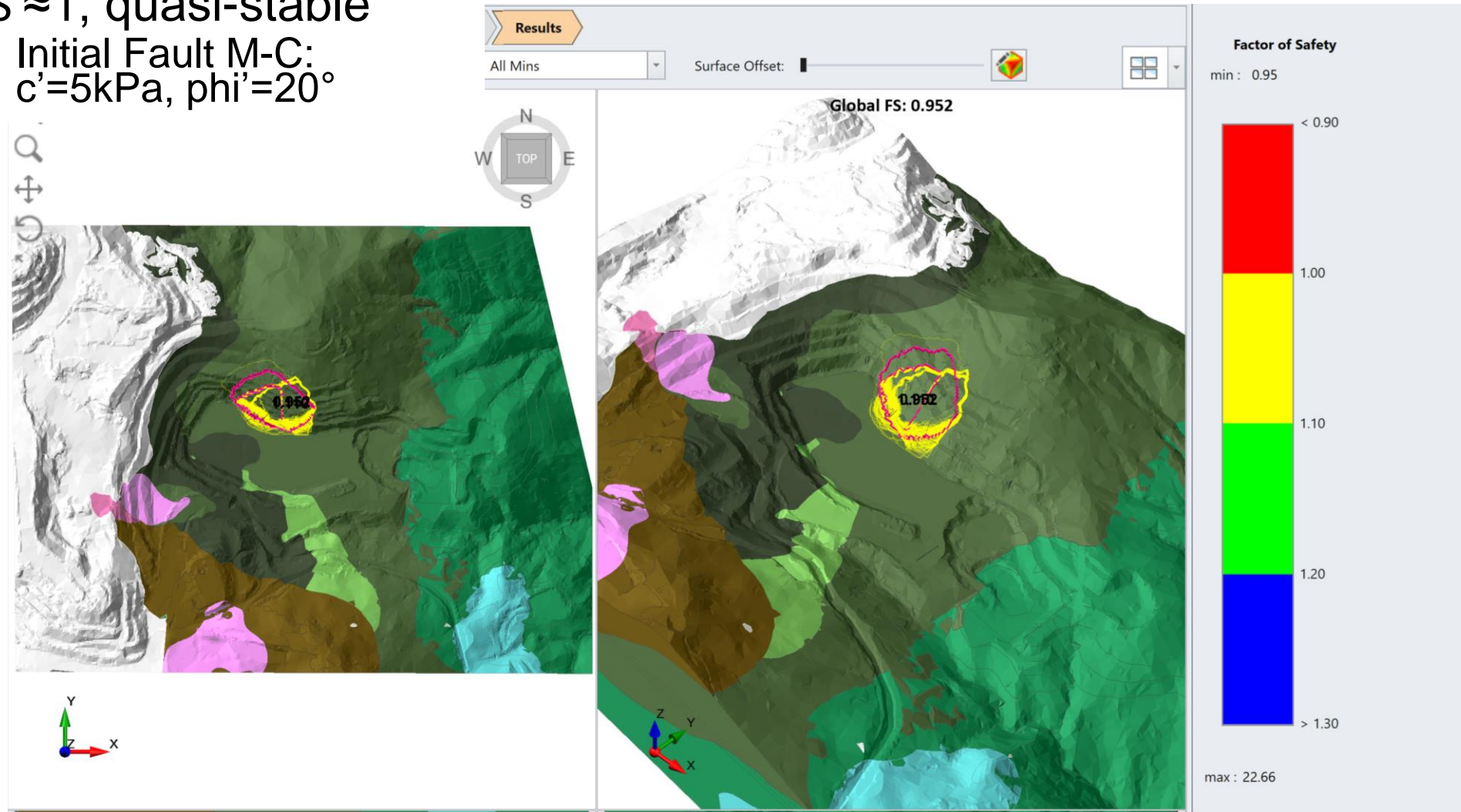
# 3D LE – Including Faults, Mine Floor 310mRL





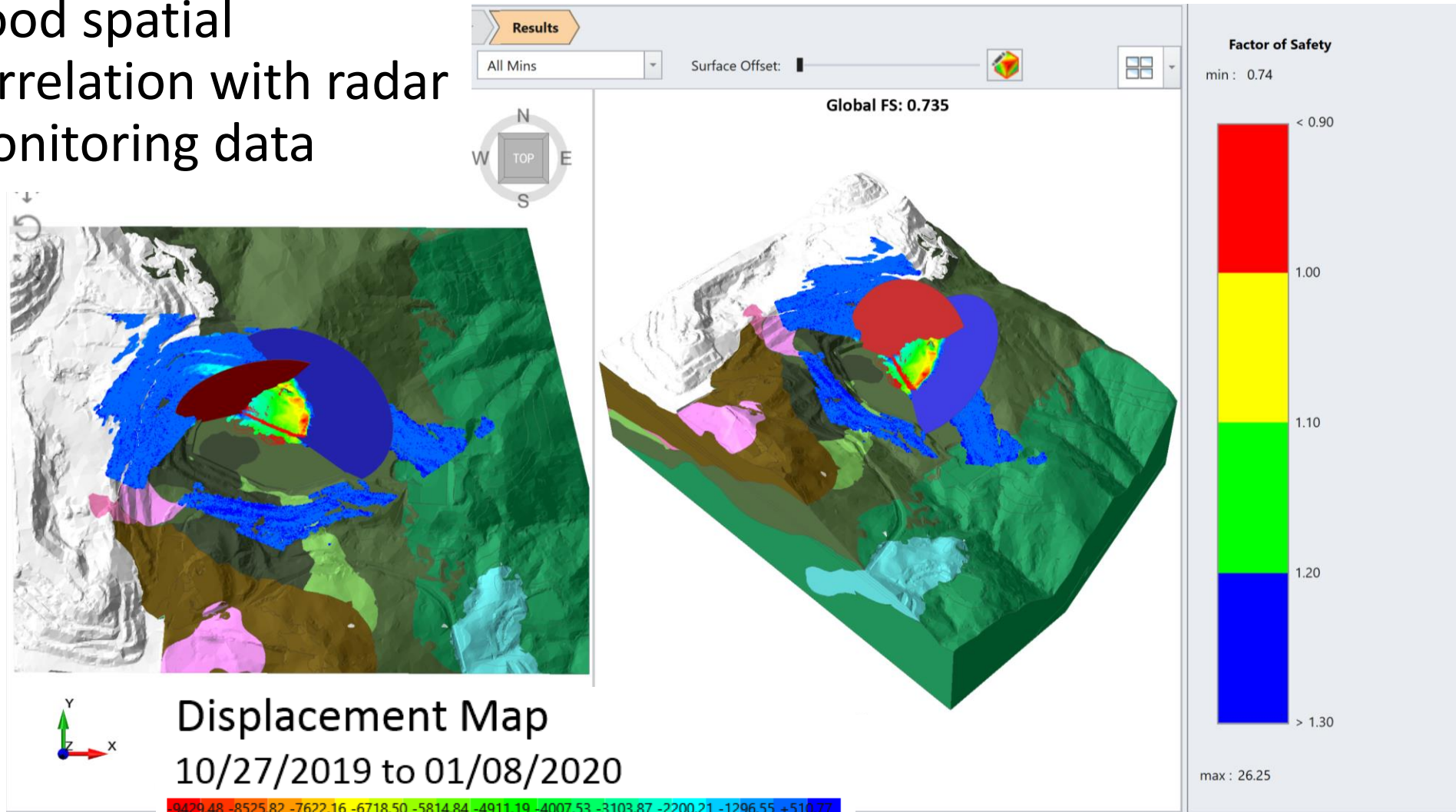
# 3D LE – Including Faults, Mine Floor 310mRL

- FoS  $\approx 1$ , quasi-stable
  - Initial Fault M-C:  
 $c'=5\text{kPa}$ ,  $\phi'=20^\circ$



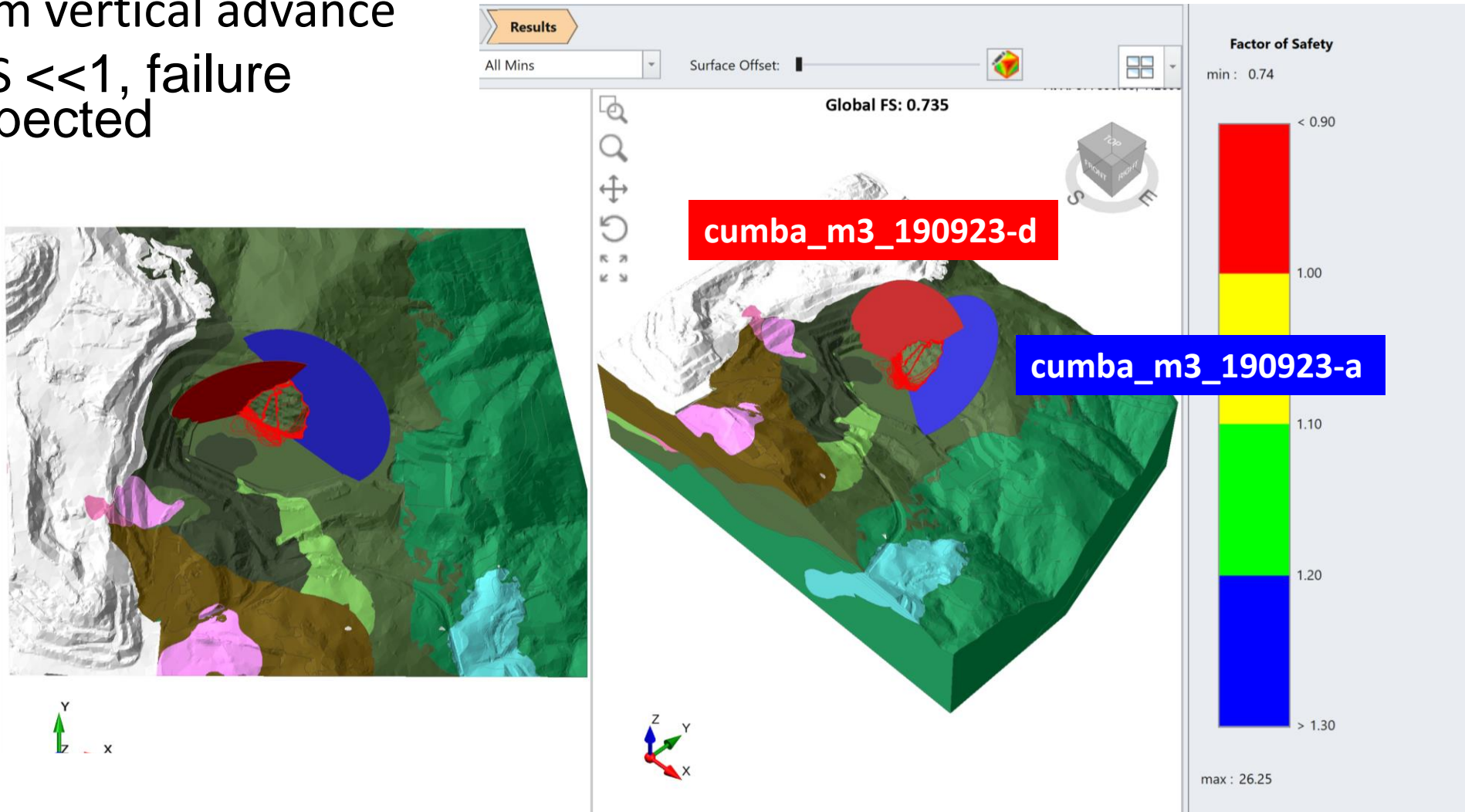
# 3D LE – Including Faults, Mine Floor 310mRL

- Good spatial correlation with radar monitoring data



# 3D LE – Forward Prediction: Mine to 300mRL

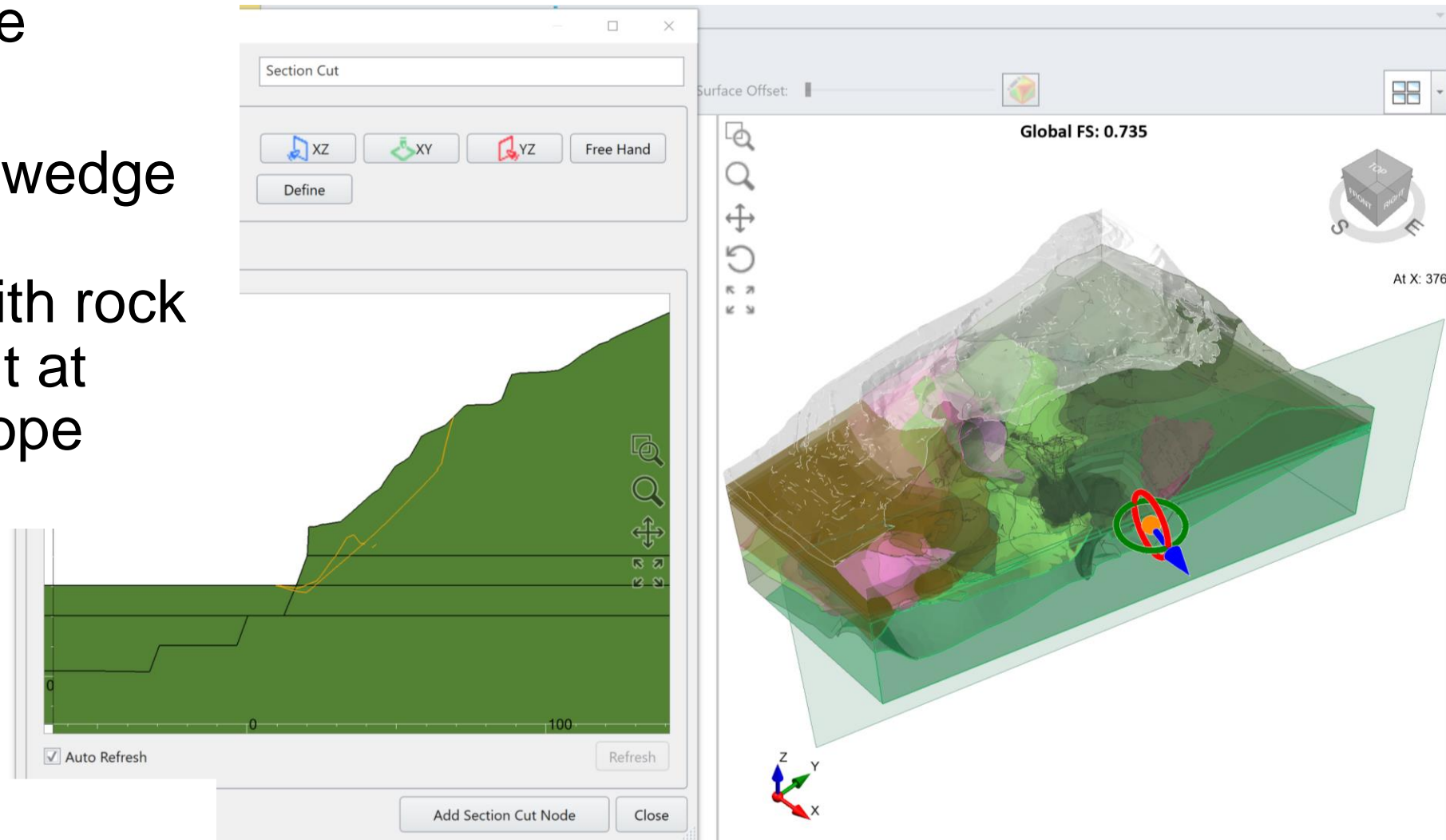
- 10m vertical advance
- $FoS \ll 1$ , failure expected





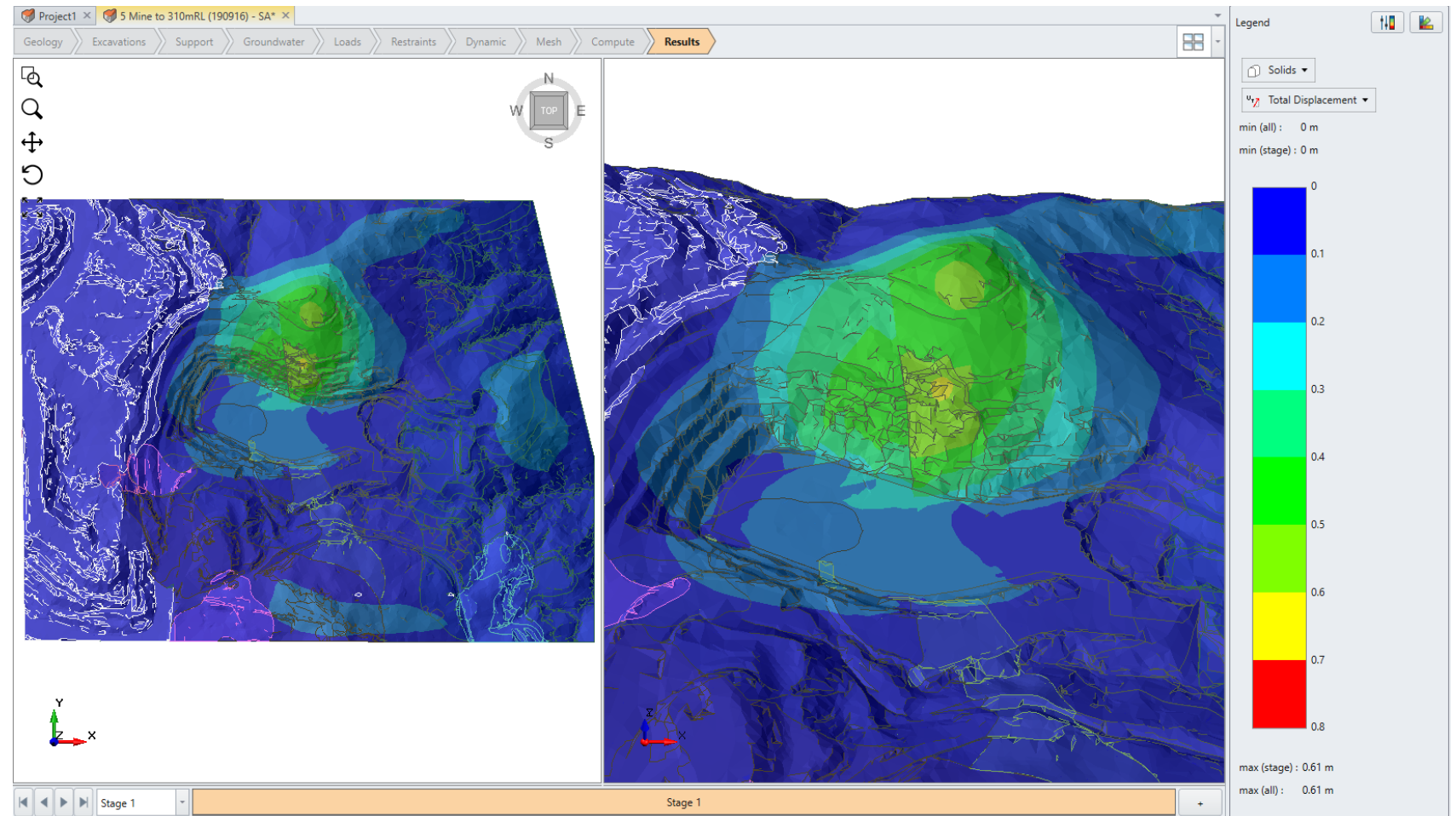
# 3D LE – Forward Prediction: Mine to 300mRL

- $FoS \ll 1$ , failure expected
- Mechanism – wedge failure (non-daylighting) with rock mass breakout at base of the slope



# 3D FE – Stress Strain Analysis Mine to 310mRL

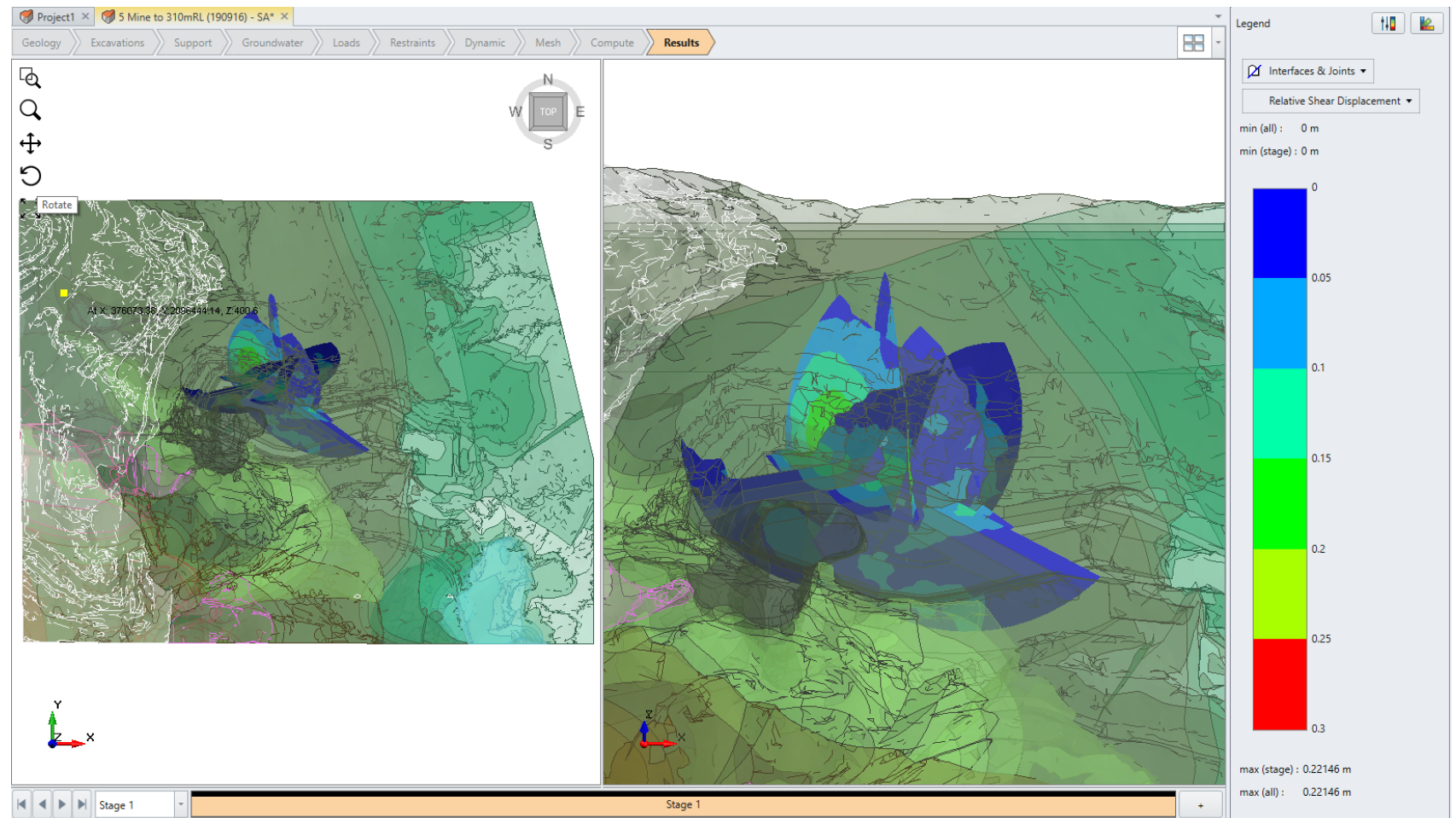
- Elastic analysis only
  - Failure mechanism validation
- Total Displacement





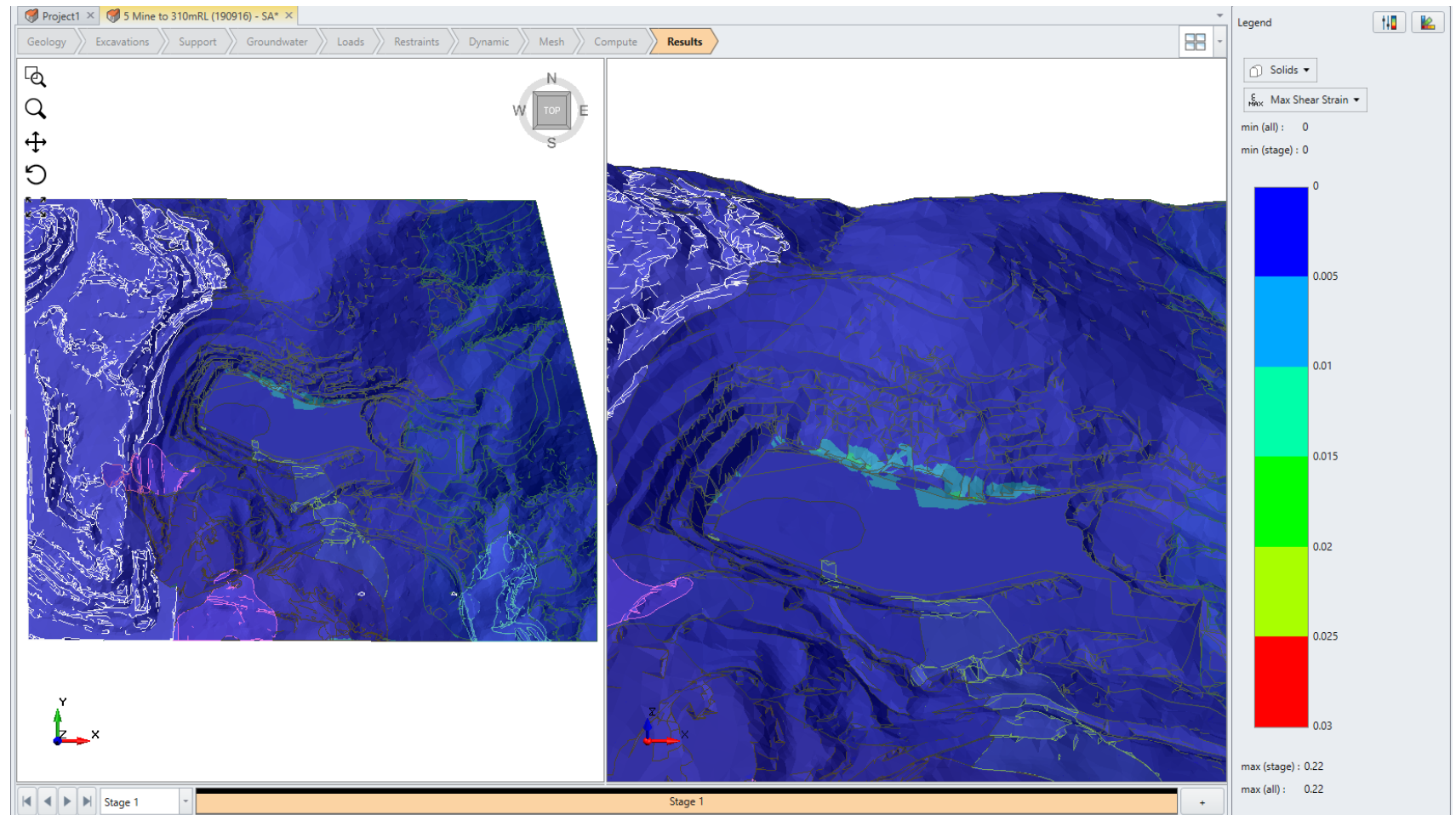
# 3D FE – Stress Strain Analysis Mine to 310mRL

- Elastic analysis only
  - Failure mechanism validation
- Relative shear displacement
  - Spread across faults



# 3D FE – Stress Strain Analysis Mine to 310mRL

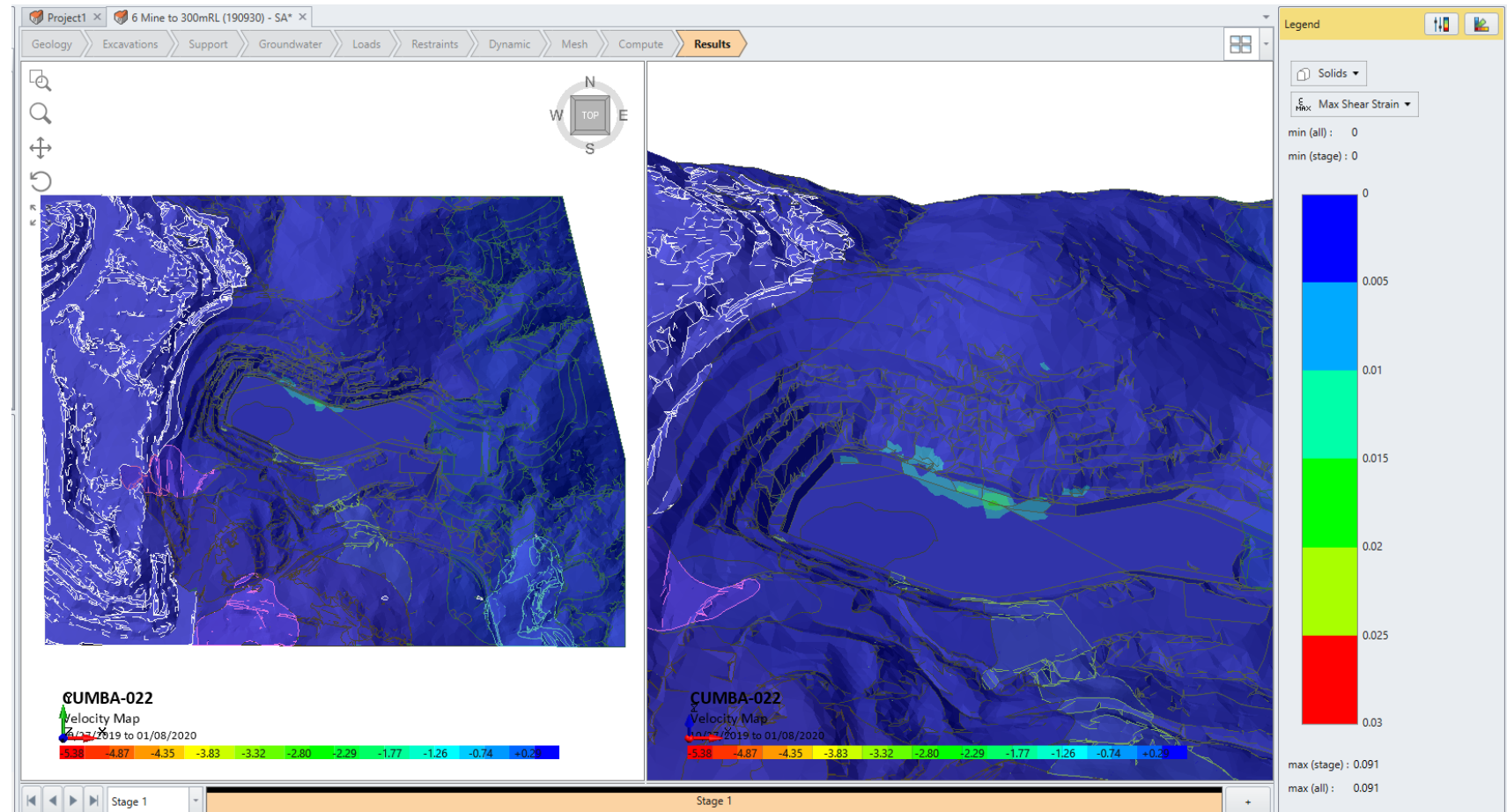
- Elastic analysis only
  - Failure mechanism validation
- Maximum Shear Strain
  - Generally at base of slope





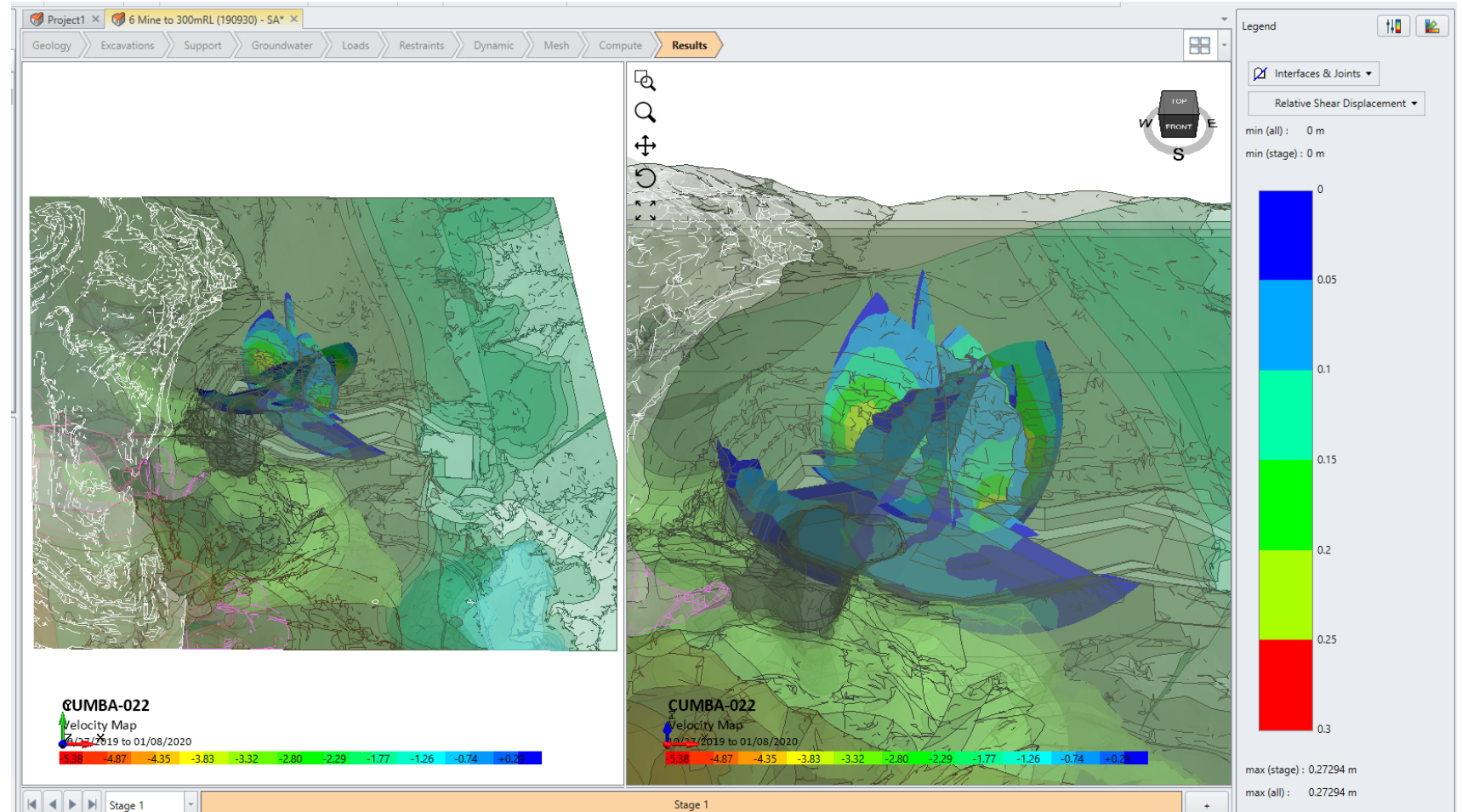
# 3D FE – Forward Prediction: Mine to 300mRL

- Elastic analysis only
  - Failure mechanism validation
- Maximum Shear Strain
  - More focused at base of slope



# 3D FE – Forward Prediction: Mine to 300mRL

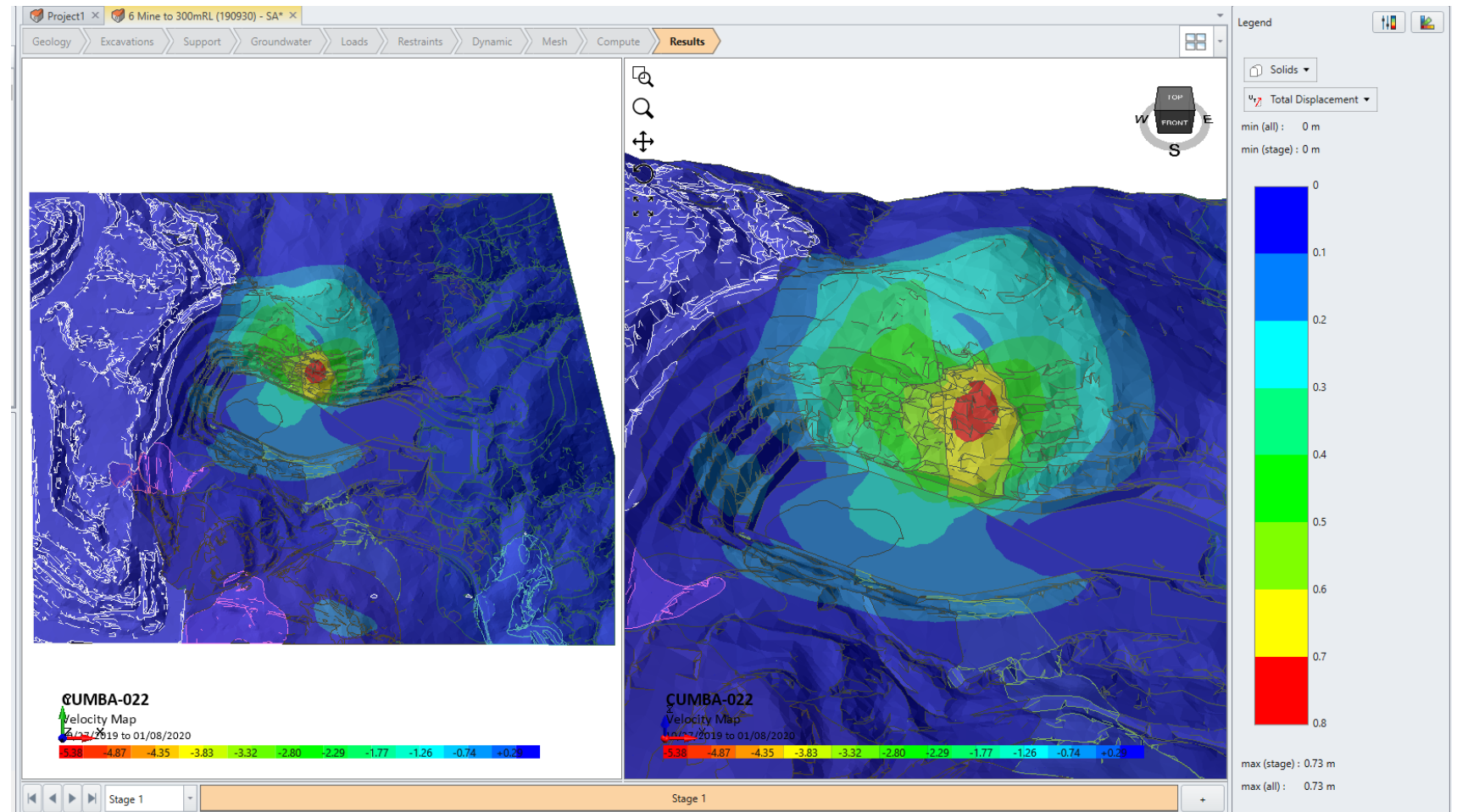
- Elastic analysis only
  - Failure mechanism validation
- Relative shear displacement
  - Focusing toward the lower parts of faults





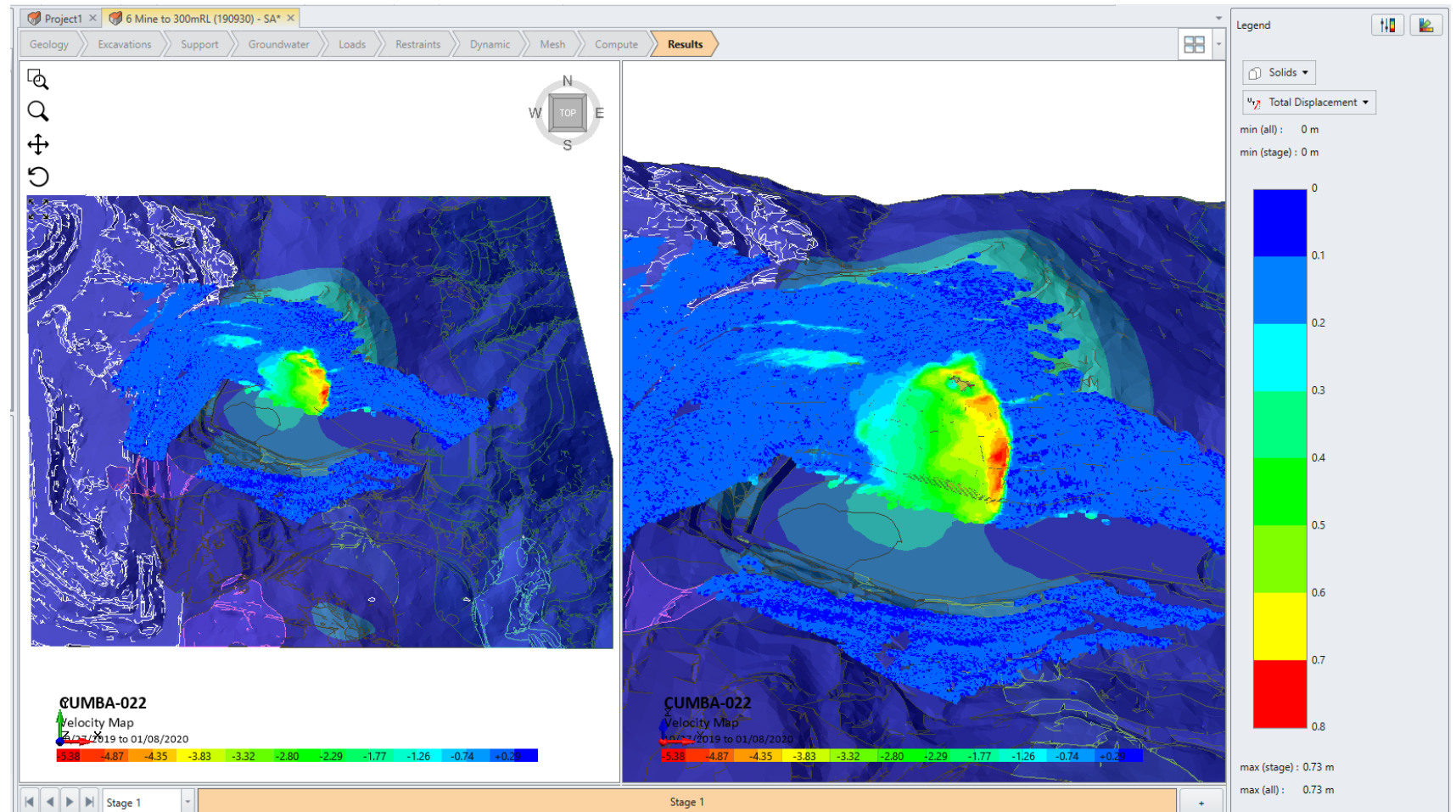
# 3D FE – Forward Prediction: Mine to 300mRL

- Elastic analysis only
  - Failure mechanism validation
- Total Displacement



# 3D FE – RS3-GbSAR Deformation Integration

- Elastic analysis only
  - Failure mechanism validation
- Radar Data
  - Displacement concentrations between model and monitoring spatially match





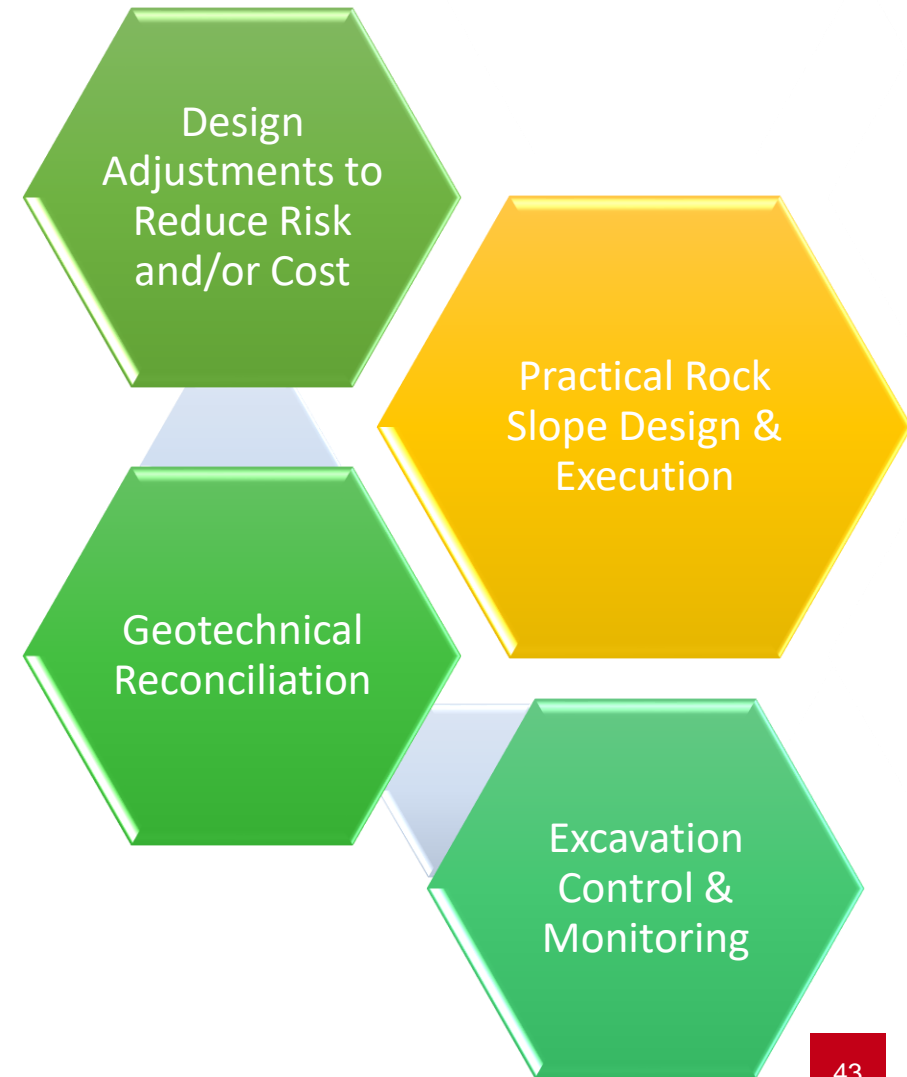
# Cumba Slope Instability

## Summary

- Deformation monitoring identified unexpected ground behavior
- Aerial photogrammetry used update geotechnical model
- 3D slope stability analysis indicated failure expected on next bench
- Time taken: only <3 days!

Process facilitated ground failure risk management considering both:

- Safety risks
- Economical consequences



## KEY TAKEAWAYS

*...use technology in an appropriate manner to understand and solve complex problems*



# Why monitor?

- Reduce Safety Risk
  - Higher frequency monitoring (e.g. radar, prisms, shape accel arrays)
  - Enables slope optimization where the economic consequences of failure are relatively low (key mining application for interim pit slopes).
    - Size of the prize: usually exceeds \$10M-\$500M USD in reduced waste stripping / additional ore recovery
- Understand ground behavior
  - Identify deviations to expected ground behavior
  - “*Know where to look first*” - prioritize ground characterization efforts in higher risk or higher uncertainty areas
- Validate simple slope stability models (qualitative)
- Calibrate numerical simulations (stress-strain, quantitative)

# Why routinely reconcile geotechnical models?

- **All models are wrong!** ...but some are useful
  - How well do you really understand geology (lithology, weathering, alteration), structure (major and minor), rock mass and groundwater from a few boreholes and surface mapping at early project stages?
- Geotechnical models contain a lot of uncertainty
  - Due to limited data collection
  - Due to limitations in data capture and analysis methods
- Reconciling geotechnical models is required as study phases and excavations progress to
  - Reduce uncertainty, better understand ground conditions and simulate behavior
  - Reduce economic risks, identify and realize opportunities
- Technology can assist us – use it to assist you to work faster where possible
  - Try not to overcomplicate it, but beware of not oversimplifying (*quite contradictory*)



# Further viewing

- **Integration of Photogrammetry, 3D Slope Stability Models and Synthetic Aperture Radar**

<https://www.youtube.com/watch?v=HCu8Wqncy-o>

- **Mega Models - 3D slope stability models (LEM+FEM) built for you and your team to use**

[https://www.youtube.com/watch?v=Kgu1G\\_nzVLw](https://www.youtube.com/watch?v=Kgu1G_nzVLw)

*Providing practical, cost effective and innovative  
geotechnical engineering solutions for the  
mining and civil construction industries*

